



**LAKES REGIONAL COMMUNITY CENTER  
REGULAR MEETING OF THE BOARD OF TRUSTEES  
PER GOV. ABBOTT'S MARCH 26, 2020 PRESS RELESSE THIS MEETING WILL TAKE PLACE VIA  
ZOOM AND IS AVAILABLE TO THE PUBLIC:**

Topic: LRCC Board of Trustees Meeting  
Time: July 28, 2021 05:00 PM Central Time (US and Canada)

You are invited to attend the LRCC Board of Trustee's Meeting on Wednesday, 7/28 at 5:00PM.  
Attend in person – 655 Airport Road, Sulphur Springs, Texas  
Join Zoom Meeting  
<https://us06web.zoom.us/j/88443148543?pwd=OE9VSEtIWHRJKONZVHZpTDd4ZXdRZz09>

Meeting ID: 884 4314 8543  
Passcode: 558619

Join meeting by phone (audio only) Dial: 345-248-7799

Meeting ID: 884 4314 8543  
Passcode: 558619  
Find your local number: <https://us06web.zoom.us/j/88443148543?pwd=OE9VSEtIWHRJKONZVHZpTDd4ZXdRZz09>

**BOARD MEMBERS**

		<i>Print Full Name</i>		<i>Signature</i>
1	✓	Tom Brown, Chair	Hunt County	<i>Tom Brown</i>
2	✓	Shae Green	Rockwall County	<i>Shae Green</i>
3	✓	Margaret Webster	Kaufman County	<i>Margaret Webster</i>
4	✓	Dana Sills	Hopkins County	<i>Dana Sills</i>
5	✓	Steve Earley	Lamar County	<i>Steve Earley</i>
6	✓	Carrie Hefner	Camp County	<i>zoom</i>
7	✓	Lisa Heine	Ellis County	<i>zoom</i>
8	✓	Frances Neal	Titus County	<i>phone</i>
9	✓	E. P. Pewitt	Morris County	<i>E.P. Pewitt</i>
10	✓	Marti Shaner	Navarro County	<i>zoom</i>
11	✓	Linda Sharpin	Franklin County	<i>Linda Sharpin</i>
12	✓	Jan Brecht-Clark, Ph.D.	Delta County	<i>zoom</i>







<b>EX OFFICIO MEMBERS</b>				
13	Sherriff Cass/Designee	Lamar County		<i>absent</i>
14	Sherriff Martin/Designee	Morris County		<i>absent</i>
<b>LAKES REGIONAL STAFF AND GUEST</b>				
1	John Delaney	✓		
2	Erwin Hancock	✓		
3	James Williams		<i>absent</i>	
4	Kellie Walker		<i>zoom</i>	
5	Jessica Ruiz	✓		<i>Jif</i>
6	Laurie White		<i>zoom</i>	
7	Larry Jonczak	✓		
8	Judy Dodd	✓		
	<b>GUEST:</b>			







# LAKES REGIONAL COMMUNITY CENTER

REGULAR MEETING OF THE BOARD OF TRUSTEES

WEDNESDAY, JULY 28, 2021, 5 PM

PER GOV. ABBOTT'S MARCH 26, 2020 PRESS RELESE THIS MEETING WILL TAKE PLACE  
VIA ZOOM AND IS AVAILABLE TO THE PUBLIC:

You are invited to the LRCC Board of Trustees Meeting.  
**Wed. July 28, 2021, 5:00 PM – Sulphur Springs, Texas**

Attend in person – [655 Airport Road, Sulphur Springs, Texas](#)

Topic: Lakes Regional Board of Trustee's Meeting

Time: Jul 28, 2021 05:00 PM Central Time (US and Canada)

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## AGENDA

AGENDA NUMBER	TOPIC
07.01.21	<b>CALL TO ORDER</b> <ul style="list-style-type: none"><li>Roll Call / Introduction of Guest.</li></ul>
07.02.21	<b>APPROVAL OF MINUTES</b> <ul style="list-style-type: none"><li>Regular Board Meeting Minutes of May 26, 2021</li></ul>
07.03.21	<b>COMMENTS FROM CITIZENS</b> <p><i>Presentations are limited to three minutes per person and must pertain to an agenda item. The Board reserves the right to limit the number of speakers and/or the length of comments on any topic. Citizens wishing to address the Board must register prior to the start of the meeting.</i></p>
07.04.21	<b>COMMITTEE MEETING REPORTS</b> <p>Human Resource Committee Meeting to be held prior to Board Meeting-Agenda attached. Meeting was held by Zoom and In-Person:</p> <ul style="list-style-type: none"><li>Action: Report on recommendation on FY 22 Employee Insurance Benefits proposal for consideration of the full Board of Trustees.</li></ul>







## LRCC Board of Trustees Meeting Agenda

- 07.05.21 RECOMMENDATIONS FOR APPROVAL**
- Review and take possible action on Human Resource Committee recommendation for FY'22 Employee Insurance Benefits Plan
- 07.06.21 EXECUTIVE DIRECTOR REPORT** (*John Delaney*)
- Board Member Update
  - 115 Transformation Waiver Status and Budget Assumptions for FY-22
  - COVID-19 Response
  - Texas Council Update
  - East Texas Behavioral Health Network (ETBHN)
- 07.07.21 FISCAL REPORT** (*Erwin Hancock*)
- Motion to Accept Center's Financial Statement for Period(s) Ending: May and June 2021.
  - Motion to Accept Center's 3<sup>rd</sup> Quarter Investment Report for approval.
- 07.08.21 MENTAL HEALTH SERVICES REPORT** (*James Williams*)
- Status of Buildings
  - New Rockwall Center Director – Susan Chaffin
  - Education Service Center Region 8/Pittsburg Applications
  - FY'22 Budget
- 07.09.21 INTELLECTUAL & DEVELOPMENTAL DISABILITIES REPORT** (*Laurie White*)
- Training Centers
  - Employment Services
  - Waiver and ICF Group Homes
  - Audits/Surveys
  - OBI
  - EVV
  - New Staff
  - Vacancies
- 07.10.21 QUALITY MANAGEMENT/CONTRACTS REPORT** (*Kellie Walker*)
- Contracts/Network Development
  - PNAC
  - Rights Allegations
  - QM MH, NTBHA & Substance Abuse
  - IDD
  - NCQA
- 07.11.21 HUMAN RESOURCES REPORT** (*Jessica Ruiz*)
- Staffing Issues
  - Employee Benefits

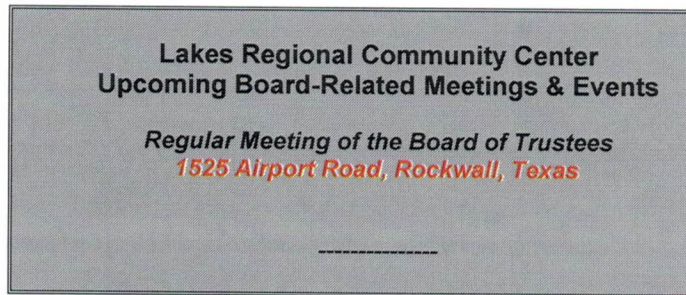




**07.12.21**      **CLOSED EXECUTIVE SESSION (Tom Brown, Board Chair)**  
**Pursuant to Section 5551.074, Texas Government Code, Personnel Matters to discuss Executive Director's annual evaluation.**

Section 551.074 authorizes a governmental body to deliberate in executive session about officers and employees of the governmental body. This chapter does not require a governmental body to conduct an open meeting; to deliberate the appointment, employment, evaluation, reassignment, duties, discipline, or dismissal of a public officer, or employee; to hear a complaint or charge against an officer or employee who neither is the subject of the deliberation nor has requested a public hearing.

**07.12.21**      **ADJOURNMENT**







**AGENDA ITEM NO. 07.02.21**

Approval of Minutes

**RECOMMENDATIONS FOR APPROVAL:**

- Motion to approve prior Board of Trustees meeting minutes.

**Rationale:**

Discussion and Approval of Regular Board Meeting Minutes of May 2021 as presented.





LAKES REGIONAL COMMUNITY CENTER  
REGULAR MEETING OF THE BOARD OF TRUSTEES  
WEDNESDAY, MAY 26, 2021, 5PM

Per Gov. Abbott's March 26, 2020 Press Release this Meeting was held  
VIA ZOOM  
BOARD MINUTES

AGENDA NUMBER	TOPIC
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**05.01.21**

**CALL TO ORDER**

The May 26, 2021 regular meeting of the Lakes Regional Community Center Board of Trustees called to order by Board Chairperson, Tom Brown at 5:00 PM with a quorum present by Zoom. Chairperson asked for Roll Call.

**Members Present (Zoom):**

Tom Brown, Hunt County, Chairperson (In-Person)	
Lisa Heine, Ellis County (Zoom)	Jan Brecht-Clark, Ph.D., Delta County (Zoom)
Shae Green, Rockwall County (Zoom)	Marti Shaner, Navarro County (Zoom)
Dana Sills, Hopkins County (In-Person)	Steve Earley, Lamar County (In-Person)
Linda Sharpin, Franklin County (In-Person)	Frances Neal, Titus County (In-Person)
E.P. Pewitt, Morris County (In-Person)	

**Members Absent:** Margaret Webster, Kaufman County, Carrie Hefner, Camp County

**Vacant Seat(s):** NA

**Guest(s):** Matt Roberts (NTBHA Provider Relations)

**Ex Officio Members Present:** NA

**Ex Officio Members Absent (Zoom):** Sheriff Scott Cass, Lamar County, Sheriff Jack Martin, Morris County

**Management Staff Present:** John Delaney, Erwin Hancock, Larry Jonczak, James Williams and Pat Denson (HR Representative).

**Management Staff Zoom:** Laurie White and Kellie Walker.

**Management Staff Absent:** Keith Matthews

**Board Liaison/Recording Secretary:** Judy Dodd, Board Liaison/Recording Secretary

**05.02.21**

**APPROVAL OF MINUTES**

**Recommended Board Action:**

➤ **Approval of Minutes of March 26, 2021 meeting.**

**Rational:**

Chairperson asked members if they had reviewed the minutes and if there were any corrections or additions. With noted corrections (typographical/spelling), Chairperson asked for motion to approve. Motion made by E. P. Pewitt to approve and seconded by Steve Earley. A sign of aye approved minutes unanimously.

**CLOSURE**





**05.03.21 COMMENTS FROM CITIZENS**

- NA
- CLOSURE

**05.04.21 COMMITTEE MEETING REPORT**

- NA
- CLOSURE

**05.05.21 RECOMMENDATIONS FOR APPROVAL**

- **Motion to discuss and take possible action to approve ECI Lamar County United Way Application**

**Rationale:**

- John noted that the motion should read ECI Hunt County United Way Application in lieu of ECI Lamar County United Way Application. John informed the members the purpose of this application, which has been applied for in previous years. Chair asked for motion to approve. E. P. Pewitt made motion to approve and seconded by Linda Sharpin. A sign of aye carried motion unanimously.

**CLOSURE**

**05.06.21 EXECUTIVE DIRECTOR REPORT (*John Delaney*)**

**Recommended Board Action:**

- None: Information only

**Rationale:**

Discussion on the following:

Handouts

➤ **June Director Report:**

- John informed the Board members that we do not have a Board meeting scheduled for next month as June was typically the time of the annual Tx. Council Trustee and Staff Training Conference. This conference has been postponed until November. John asked the committee if they wish to schedule a meeting in June or leave the current schedule in place. Members stated to leave schedule as is.
- John presented a new committee list to members. He then noted that the Human Resource Committee is to meet in June and the Budget & Finance Committee is to meet prior to August for budget review.

➤ **1115 Transformation Waiver and Other Board Updates:**

- Demonstration Year 10 (DY-10) first reporting period is finished. June 16, 2021 is the estimated date for HHSC to send us notification of the state share match that we need to submit for the July payment.
- Since the Center for Medicaid and Medicare Services (CMS) rescinded its previous approval on the state's 1115 Waiver renewal, HHSC has been in negotiations around submitting a new extension.
- HHSC going forward with the Behavioral Health FY22 Directed Payment for CMHS. This supplemental payment is based on our overall Medicaid STAR and STAR PLUS utilization.

➤ **COVID-19 Response:**

- Continuing to follow current CDC guidelines, and continue our same precautions at all sites. All of the state's waivers to allow for increased use of telephone and telehealth services have remained in effect.





- **Texas Council Update**
  - New Federal Grant discussed from the federal Substance Abuse and Mental Health Services Administration (SAMSHA). Grant completed and submitted.
  - Tx. Council busy tracking legislation still moving through the legislature that affect our system. The main budget bills are now awaiting reconciliation in joint House/Senate conference committee.
- **East Texas Behavioral Health Network (ETBHN)**
  - Meeting set for May 21, 2021; update will follow.

**CLOSURE**

**05.07.21**

**FISCAL REPORT (Erwin Hancock)**

**Recommended Board Action:**

- Approval of Center's Financial Statement for Period(s) Ending:
  - April, 2021

**Rationale:**

- **Erwin presented the Center's financial reports for the month of April 2021.**
  - Revenue stronger as per prior year
  - Expenditures consistent with prior year

Chairperson asked if any questions. With no further discussion, Chairperson asked for motion to approve financials for the month April 2021. Frances Neal made motion to approve with second by E. P. Pewitt. Financial were approved unanimously sign of aye.

**CLOSURE**

**05.08.21**

**MENTAL HEALTH SERVICES REPORT (James Williams)**

**Recommended by Board Action:**

None: Information only

**Rationale:**

- **Rockwall Director Going into Private Practice**
  - Shala Turner last day is June 11, 2011
  - Susan Chaffin will start on June 8, 2021 – she currently works in Greenville.
- **Education Service Center Region8/Pittsburg Applications**
  - Have a number of new applicants
  - Most promising is person currently working in California
- **COVID19-Thank You!**
  - Additional funds related to workers
  - Big Thank You to the Board for approval of these funds
- **SAMHSA Grant**
  - **Current – Care Coordination**
    - ✓ We have completed the first of two years with our Care Coordination Grant
  - **Application – COVID**
    - ✓ Application has been submitted for the SAMSHA COVID Relief Grant

**CLOSURE**



05.09.21

## **INTELLECTUAL & DEVELOPMENTAL DISABILITIES REPORT (Laurie White)**

### **Recommended by Board Action:**

None: Information only

#### **Rationale:**

- **Training Centers**
  - No opening dates for 3 Training Centers due to staff shortage
  - Sulphur Springs to open June 1, 2021.
  - United Way presentation complete.
  - Two training centers open four days a week
- **Employment Services**
  - New TWC contract effective through May 2024
  - Serving individuals face to face in Nursing Facilities as well as in community
- **Waiver and ICF Group Homes**
  - Waiting for HHSC directives on masking etc...In relation to Governor's Order
- **Audits/Surveys**
  - OIG/ICF Trust Fund Audit indicated no deficiencies
  - Social Security Payee Audit suggested Trust Fund Manager meets with individual 1:1
  - No deficiencies for Windy Hill in April
  - HHSC in the South Region has started Residential inspections
- **Outpatient Biopsychosocial Intervention Team (OBI)**
  - 3<sup>rd</sup> Quarterly report submitted May 15, 2021
  - Dr. Lloyd works very closely with OBI Team and is now 80% with IDDP
  - Behavior staff that have returned to Lakes are seeing children and the first of Private insurance.
  - Contract signed for FY22 for OBI 300K to continue.
- **Electronic Visit Verification (EVV)**
  - Zero denied EVV billable service; all entries are flowing well within EVV trackable services
- **New Staff**
  - Hired an RN in the North Region, stationed in Paris
  - Need DON/RN for Central Region
- **Vacancies**
  - Receiving more applications

#### **CLOSURE**

05.10.21

## **QUALITY MANAGEMENT/CONTRACTS REPORT (Kellie Walker)**

### **Recommended by Board Action:**

None: Information only

#### **Rationale:**

- **Contracts/Network Development**
  - Received Intent to Renew TDCJ for our Texas Correctional Office on Offenders with Medical or Mental Impairments (TCOOMMI) contract.
  - Posting Request for Proposals for Janitorial Services
  - Writing proposal for the SAMHSA Community Mental Health Center (CMHC) grant program.
    - ✓ Goals of the proposal are:
      - Increase the capacity of Lakes Regional's telehealth services to provide greater accessibility and availability to services.





- Increase peer involvement in all levels of service delivery and decision making
- Increase the utilization of Co-Occurring Psychiatric and Substance Use Disorders (COPSD) services by increasing awareness of this evidenced based practice among those diagnosed with Co-occurring Disorders (COD).
- Received notification from HHSC that they did a desk review of our FY20 Single Audit Reporting Package.
- Received renewal for the Outpatient Biopsychosocial Approach for IDD Services (OBI) program.
- **PNAC**
  - Regional PNAC meeting was held May 12, 2021.
    - ✓ Standardized Hospital Survey to be submitted June 30, 2021
    - ✓ Survey results to be presented at next meeting, June 30, 2021.
- **Rights / Abuse, Neglect, & Exploitation Allegations**
  - GR: 2 Sulphur Springs (unconfirmed); 1 Paris MH (unconfirmed)
  - NTBHA: 1 Greenville MH (unconfirmed)
  - SUD: 1 Paris SUD (unconfirmed); 1 Bonham SUD (unconfirmed)
- **QM MH, NTBHA & Substance Abuse**
  - Lakes Regional will be held harmless for performance measures and outcomes until further notice due to COVID 19 continues.
  - HHSC Hospitality House Audit: HHSC requested follow-up documents to ensure Lakes' CAP has been implemented. Documentation was submitted to HHSC on April 28, 2021.
  - NTBHA HHSC Audit: NTBHA received an HHSC audit and submitted Lakes Regional's medical procedures. Received a finding regarding Lakes medical procedures. Updated procedures were sent to NTBHA May 13, 2021.
  - HHSC Yes Waiver Audit: CAP submitted with revisions to HHSC, May 7, 2021.
  - HHSC Hospitality House Audit: Submitted CAP to HHSC on May 5, 2021.
  - FY21 CMH Fidelity Audit: GR and NTBHA Fidelity audits completed with limitations due to COVID-19. Results sent to programmatic staff on May 5, 2021.
  - Superior Audit: Chart Audit on April 20, 2021. Overall chart score 89%/Claims score 100%
- **IDD**
  - IDD Service Target: Held harmless until further notice due to COVID-19
- **NCQA**
  - Lookback period started May 1, 2021.

#### **CLOSURE**

**05.11.21**

### **HUMAN RESOURCES REPORT (Keith Matthews)**

#### **Recommended by Board Action:**

None: Information only

#### **Rationale:**

Pat Denson presented Human Resources Report as follows:

- **Staffing issues**
  - Finished April with a total of 465.75 authorized FTEs and 391 employees.
  - Filled 8 positions (6 new hires/2 rehires)
  - Eleven separations
- **Employee Compensation and Benefits:**





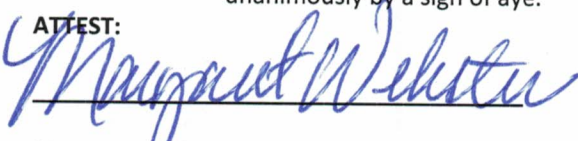
- Health care claims are still high; but the worker's comp that had been filed erroneously last month was reversed this month, therefore decreasing our total claims amount.
- Four large claims and one has exceeded the individual stop-loss limit.
- **Advertisement:**
  - We have increased the locations on posting positions.
- **Additional Information**
  - Salary upgrades were given to designated staff in MH and IDDP for recruitment and retention purposes.

**CLOSURE**

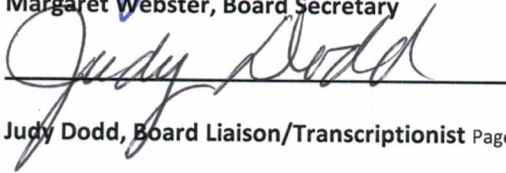
**05.12.21 ADJOURNMENT**

Chairperson ask for any other matter to discuss if not for motion to adjourn. E. P. Pewitt made motion to adjourn and seconded by Steve Earley. With no further discussion motion carried unanimously by a sign of aye.

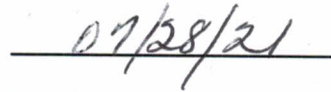
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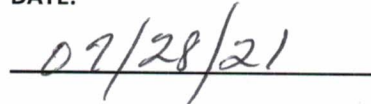
Margaret Webster, Board Secretary



Judy Dodd, Board Liaison/Transcriptionist Page | 3 6/11/21



DATE:





**AGENDA ITEM NO. 07.03.21**

Citizens' Comments

**RECOMMENDATIONS FOR APPROVAL:**

Public comment(s) may be addressed to the Board of Trustees by community members and/or any interested parties.

**Rationale:**

Presentations are limited to three (3) minutes per person. The Board reserves the right to limit the number of speakers and/or the length of comment on any topic. Citizens wishing to address the Board must register prior to the start of the meeting and any comments should pertain to an agenda item.





## **AGENDA ITEM NO. 07.04.21**

Committee Meeting Reports

### **RECOMMENDATIONS FOR APPROVAL:**

- Human Resources Committee Meeting to be held prior to Board Meeting.

### **Rationale:**

Report of the following committees of the Board of Trustees, if applicable:

- Human Resources: Shae Green, Chair
  - Motion to be presented to Board of Trustees to review and take possible action on FY 22 Employee Insurance Benefits.
- Budget & Finance: Margaret Webster, Chair
  - No Meeting Posted
- Programs: Dana Sills, Chair
  - No Meeting Posted



**LAKES REGIONAL COMMUNITY CENTER**  
**Meeting of Board of Trustees HR Committee**  
**655 Airport Rd, Sulphur Springs, TX 75482**  
**Wednesday, July 28, 2021**  
**4:00 pm to 5:00 pm**

Topic: Lakes Regional Board of Trustee's HR Committee

Time: Jul 28, 2021 04:00 PM

Join Zoom Meeting

<https://us06web.zoom.us/j/88443148543?pwd=OE9VSEtIWHRJKONZVHZpTDd4ZXdRZz09>

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**Members:**

**Tom Brown, Board Chair**  
**Shae Green, Committee Chair**  
**Jan Brecht-Clark, Trustee**  
**Carrie Hefner, Trustee**  
**E. P. Pewitt, Trustee**

**Staff Liaisons:**

**Jessica Ruiz, Interim HR Dir.**  
**Erwin Hancock, CFO**  
**John Delaney, CEO**

<b>Agenda Number</b>	<b>Topic</b>	<b>Facilitator</b>
07.01.21	Call to Order	Shae Green
07.02.21	Approval of Minutes	Shae Green
07.03.21	Citizen's Comments	Open
	Presentations are limited to 3 minutes per person, and must pertain to an agenda item. The Committee reserves the right to limit the number of speakers and/or the length of comments on any topic. Citizens wishing to address the Committee must register prior to the start of the meeting.	
07.04.21	Proposal for FY'22 Employee Insurance Benefits	Jessica Ruiz Erwin Hancock
	<u>Action:</u> Recommendation to approve and to present proposal for consideration of the full Board of Trustees.	
07.05.21	Adjourn	







# Lakes Regional Community Center

## 2021-2022 Medical Renewal Summary

Final Decisions





# Lakes Regional Community Center

## 2021-2022 Projection Renewal Medical Summary

### 1. Total Medical Plan Renewal is 2.4%

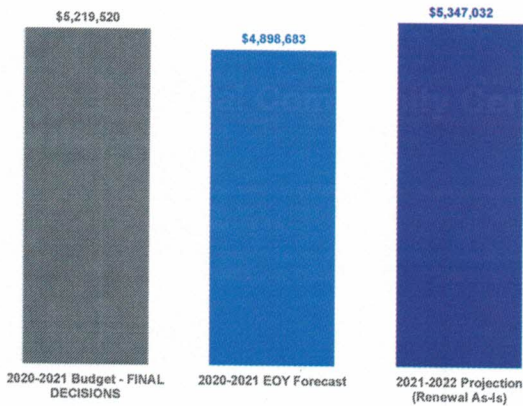
	2020-2021 Budget - FINAL DECISIONS				2020-2021 EOY Forecast				% Change	2021-2022 Projection (Renewal As-Is)				% Change
	EE	ES	EC	FAM	EE	ES	EC	FAM		EE	ES	EC	FAM	
<b>Value Plan</b>														
Medical & Rx Budget Rates	\$885.00	\$2,065.00	\$1,688.00	\$2,514.00	\$885.00	\$2,065.00	\$1,688.00	\$2,514.00	0.0%	\$970.00	\$2,265.00	\$1,860.00	\$2,765.00	9.6%
Assumed Enrollment <sup>1</sup>	173	18	33	31	173	17	33	25		176	16	34	26	
Total Enrollment	255				248				-2.6%	252				-1.2%
<b>Enhanced Plan</b>														
Medical & Rx Budget Rates	\$1,042.00	\$2,423.00	\$1,973.00	\$2,956.00	\$1,042.00	\$2,423.00	\$1,973.00	\$2,956.00	0.0%	\$1,035.00	\$2,408.00	\$1,973.00	\$2,946.00	-0.7%
Assumed Enrollment <sup>1</sup>	75	3	4	6	74	1	6	5		72	1	6	5	
Total Enrollment	88				86				-2.8%	84				-4.5%
Total Annual Plan Cost	\$5,219,520				\$4,898,683				-6.1%	\$5,347,032				2.4%
Total Annual Employee Cost	\$817,992				\$817,992				0.0%	\$912,120				11.5%
Total Annual Employer Cost	\$4,401,528				\$4,080,691				-7.3%	\$4,434,912				0.8%
PEPM Employer Cost	\$1,069.37				\$1,018.90				-4.7%	\$1,099.93				2.9%

<sup>1</sup> Reflects enrollment used to determine budget rates

<sup>1</sup> Reflects blended enrollment Sept 2020 - Apr 2021

<sup>1</sup> Reflects April 2021 enrollment

### 2. Plan Year Comparison



### 3. Proposed Plan Changes for 2021-22

- > Out of Pocket Maximum increase from \$7,500 to \$8,500 Individual, and from \$15,000 to \$17,000 Family
- > Moving from two plan options, to one plan with \$1,000 or \$750 deductible than current Enhanced Plan
- > Increase ER Copay from \$150 to \$300; 20% coinsurance still applies after copay
- > Implemented ScriptSourcing and Tria Health to address rising prescription drug costs

### 4. Prior Year Renewal Information

- > 2020-21 budget rates were increased +5% (\$229,236) after slight plan design changes
- > Increased Out-of-Pocket Maximum on both plans to \$7,500 Individual / \$15,000 Family
- > Decreased Value Plan Deductible from \$5,000 to \$3,000
- > Introduced Contribution for EE Only on Value Plan; from \$0 to \$25mo resulting in \$51,900 in contributions to Lakes
- > Administration Fee decreased +3.5% (\$8,105 savings) - includes Rx Rebate Credit increase from \$31.83 to \$41.46
- > The GCBSTX Stop-Loss renewal came in at +14.2%. Hays negotiated renewal to -4% decrease (savings of \$246,680).
- > Increased Agg Stop Loss Corridor from 120% to 125%

### 5. Compliance Considerations

- Affordability**
- > For the 2021-2022 plan year, the ACA affordability threshold is 9.83% of the federal poverty level of \$12,760 or \$104.52 per month. For employees earning more than \$50,000, the affordability threshold is \$409.58 per month. To remain compliant, Lakes Regional's lowest tier employee contributions should not exceed these levels.

### 6. 2021 Segal Trend Survey

- > PPO Trend: 7.7%
- > HDHP Trend: 7.2%
- > Retail Rx Trend: 7.3%
- > Specialty Rx Trend: 11.5%

The above 2021 Segal Health Plan Cost Trend Survey results are used by employers to support their 2021-2022 rate renewal negotiations and budget projections. Data driven from the results of 100+ health insurance providers.





# Lakes Regional Community Center

## Marketing Summary

Medical Carriers	Responses	Notes
BCBSTX ASO (Current/Renewal)	Renewal Received	Renewal = -19% from Current

Stop-Loss Carriers	Responses	Notes
BCBS (Current/Renewal)	Renewal Received	6.9% Increase from Current
American Fidelity	Quote Received	7.9% Increase from Current
Anthem	Quote Received	18.3% Increase from Current
Berkley	Quote Received	10.3% Increase from Current
Berkshire Hathaway	Quote Received	8.3% Increase from Current
Tokio Marine	Quote Received	6.4% Increase from Current
Evolution	Response Pending	Pending
Symetra	Response Pending	Pending
Reliance Standard	Declined to Quote	DTQ
VISTA	Quote Received	8.1% Increase from Current
Sun Life Financial	Declined to Quote	DTQ
VOYA	Quote Received	23.3% Increase from Current
Various Carriers at \$100k Spec	Quote Received	Not Competitive
Various Carriers at \$150k Spec	Quote Received	Not Competitive
Various Carriers at \$175k Spec	Quote Received	Not Competitive



# Lakes Regional Community Center

## Medical Plan Design Options

Medical Benefits	2020-2021 Current		2021-2022 Renewal	
	Value Plan	Enhanced Plan	Value Plan	Enhanced Plan
Annual Deductible – Individual	\$3,000	\$1,000	\$3,000	\$1,000
Annual Deductible – Family	\$6,000	\$2,000	\$6,000	\$2,000
Coinsurance	70%	80%	70%	80%
Annual Out-of-Pocket Limit – Ind.	\$7,500	\$7,500	\$7,500	\$7,500
Annual Out-of-Pocket Limit – Fam.	\$15,000	\$15,000	\$15,000	\$15,000
<b>Preventive Services</b>				
Wellness & Preventative Visits	100%	100%	100%	100%
<b>Physician Services</b>				
Telehealth	\$0 copay	\$0 copay	\$0 copay	\$0 copay
Office Visit (Physician/Specialist)	\$15 copay / \$30 copay	\$15 copay / \$30 copay	\$15 copay / \$30 copay	\$15 copay / \$30 copay
Diagnostic Lab & X-ray	\$0 copay	\$0 copay	\$0 copay	\$0 copay
<b>Hospital Services</b>				
Inpatient	70% after deductible	80% after deductible	70% after deductible	80% after deductible
Outpatient	70% after deductible	80% after deductible	70% after deductible	80% after deductible
<b>Emergency Services</b>				
Urgent Care	\$50 copay	\$50 copay	\$50 copay	\$50 copay
Emergency Room	\$150 copay + 20%	\$150 copay + 20%	\$150 copay + 20%	\$150 copay + 20%
<b>Prescription Services</b>				
ScriptSourcing	\$0	\$0	\$0	\$0
Generic	\$5	\$5	\$5	\$5
Formulary or Brand	\$35	\$35	\$35	\$35
Non-Formulary or Non-Brand	\$70	\$70	\$70	\$70
Specialty	20% up to \$150	20% up to \$150	20% up to \$150	20% up to \$150
Mail Order	2x Retail Copay	2x Retail Copay	2x Retail Copay	2x Retail Copay
<b>Pharmacy Benefit Provisions</b>				
Mandatory Specialty Pharmacy	Not Included	Not Included	Not Included	Not Included
Prior Authorizations	Included	Included	Included	Included
Step Therapy	Included	Included	Included	Included
Generic and Brand Quantity Limits	Included	Included	Included	Included
Specialty Quantity Limit	30 days	30 days	30 days	30 days
Compounding	Not Covered	Not Covered	Not Covered	Not Covered
Proton Pump Inhibitors (PPI)	Not covered - only OTC	Not covered - only OTC	Not covered - only OTC	Not covered - only OTC
Formulary	Enhanced w/ Generic Emphasis	Enhanced w/ Generic Emphasis	Enhanced w/ Generic Emphasis	Enhanced w/ Generic Emphasis
<b>Actuarial Value</b>	<b>80.0%</b>	<b>84.6%</b>	<b>80.0%</b>	<b>84.6%</b>
Enrollment	255	88	252	84
<b>Blended Actuarial Value</b>	<b>81.2%</b>		<b>81.1%</b>	





## Lakes Regional Community Center

Administrative Services Options (ASO)

<b>Medical / Pharmacy Vendors</b>	<b>Current</b>	<b>Renewal</b>
Carrier/Third-Party Administrator	BCBS	BCBS
Medical Network	BCBS	BCBS
Pharmacy Benefit Manager	BCBS	BCBS
Total Enrollment	343	336
<b>Services Provided</b>	<b>PEPM</b>	<b>PEPM</b>
Medical Administration	\$58.65	\$61.01
Telehealth Program	Included	Included
Rx Administration	Included	Included
Wellbeing Management	Included	Included
Broker Compensation (Hays)	\$20.00	\$20.00
Stop-loss Interface Fee	n/a	n/a
Medical Rebate Credit	\$0.00	(\$2.10)
Pharmacy Rebate Credit	(\$41.46)	(\$48.78)
<b>Net Administration Fee</b>	<b>\$37.19</b>	<b>\$30.13</b>
Δ %   \$ From Current		-19.0%   (\$7.06)
<b>Annual Net Administration</b>	<b>\$153,074</b>	<b>\$121,484</b>
Δ %   \$ From Current		-20.6%   (\$31,590)
Rate Guarantee	8/31/2021	8/31/2022



# Lakes Regional Community Center

## Stop Loss - \$125k Specific Deductible

	Current	Renewal
Stop-Loss Carrier	BCBS	BCBS
TPA	BCBS	BCBS
Network	BlueChoice	BlueChoice
AM Best Rating	A+	A+
Enrollment - Single	248	248
Enrollment - Family	95	95
Enrollment	343	343
<b>Specific Deductible</b>	<b>\$125,000</b>	<b>\$125,000</b>
Aggregating-Specific Deductible	None	None
Specific Contract Basis	Paid	Paid
Specific Advance Funding	Included	Included
Plan Mirroring	Included	Included
No New Laser at Renewal (NNL)	None	None
Rate Cap Guarantee	None	None
Experience Reward Provision	None	None
Terminal Liability Option	None	None
Aggregate Contract Basis	Paid	Paid
Aggregate Corridor	125%	125%
Annual Aggregate Maximum	Unlimited	Unlimited
Run-In Limitation	N/A	None
Claims Required Thru	N/A	5/30/2021
Current Laser	No	TBD
Total Additional Laser Liability	\$0	\$0
Previous Year Premium Refund	\$0	\$0
Annual Maximum Claims - Single	\$1,120.78	\$1,329.74
Annual Maximum Claims - Family	\$1,120.78	\$1,329.74
<b>Annual Maximum Claims PEPM</b>	<b>\$1,120.78</b>	<b>\$1,329.74</b>
Δ %   \$ From Current		18.6%   \$208.96
Specific Premium - Employee	\$144.58	\$155.74
Specific Premium - Family	\$144.58	\$155.74
<b>Stop Loss Premium PEPM</b>	<b>\$144.58</b>	<b>\$155.74</b>
<b>Aggregate Premium PEPM</b>	<b>\$17.04</b>	<b>\$17.02</b>
<b>Annual Stop Loss Premium</b>	<b>\$665,228</b>	<b>\$711,080</b>
Δ %   \$ From Current		6.9%   \$45,852





# Lakes Regional Community Center

## 2021-2022 Medical & Rx Claims Projection (Incurred Claims)

	Medical			Pharmacy			
	Sep 2018 thru Aug 2019	Sep 2019 thru Aug 2020	Sep 2020 thru May 2021	Sep 2018 thru Aug 2019	Sep 2019 thru Aug 2020	Sep 2020 thru May 2021	
Medical Claims	\$4,038,691	\$2,608,128	\$2,629,801	\$1,165,200	\$1,058,806	\$924,887	Rx Claims
Claims in Excess of \$50,000 Per Member	(\$2,920,078)	(\$1,363,996)	(\$1,548,605)	-	-	-	
Claims between \$50,000 and Specific Deductible	\$2,129,654	\$1,079,135	\$829,209	-	-	-	
Medical Claims Less Pooled Credits <sup>1</sup>	\$3,248,266	\$2,323,267	\$1,910,404	\$1,165,200	\$1,058,806	\$924,887	Rx Claims
Benefit Adjustment <sup>2</sup>	1,000	1,000	1,000	1,000	1,000	0.950	Benefit Adjustment <sup>2</sup>
Covid-19 Adjustment	1,000	1,020	1,020	1,000	1,000	1,000	Covid-19 Adjustment
Network Adjustment	1,000	1,000	1,000	1,000	1,000	1,000	Network Adjustment
COBRA Adjustment	1,000	1,000	1,000	1,000	1,000	1,000	COBRA Adjustment
Demographic Adjustment	1,000	1,000	1,040	1,000	1,000	1,000	Demographic Adjustment
Network Lag Disparity Adjustment	1,000	1,000	1,000	1,000	1,000	1,000	Network Lag Disparity Adjustment
Network Access Fee Adjustment	1,000	1,000	1,000	1,000	1,000	1,000	Network Access Fee Adjustment
Deductible Imaturity Adjustment	1,000	1,000	1,010	1,000	1,000	1,000	Deductible Imaturity Adjustment
Benefit Adjusted Medical Claims	\$3,248,266	\$2,389,732	\$2,046,822	\$1,165,200	\$1,058,806	\$878,643	Benefit Adjusted Rx Claims
Member-Months	6,906	6,487	4,640	6,906	6,487	4,640	Member-Months
PMPM	\$470.35	\$365.30	\$441.13	\$168.72	\$163.22	\$189.36	PMPM
Completion Factor <sup>3</sup>	1.000	1.000	1.167	1.000	1.000	1.000	Completion Factor <sup>3</sup>
Adjusted PMPM	\$470.35	\$365.30	\$514.65	\$168.72	\$163.22	\$189.36	Adjusted PMPM
Trended Months	36	24	13	36	24	13	Trended Months
7.7% Annual Trend	24.9%	16.0%	8.7%	29.5%	18.8%	10.2%	9.0% Annual Trend
Trended Medical Claims	\$587.62	\$423.73	\$559.33	\$218.51	\$193.92	\$208.60	Trended Rx Claims
Incurred to Paid Adjustment Factor	1.000	1.000	0.994	1.000	1.000	1.000	Rebate Adjustment
Projected Medical PMPM	\$587.62	\$423.73	\$555.87	\$218.51	\$193.92	\$208.60	Projected Rx PMPM
Period Weight	20%	30%	50%	20%	30%	50%	Period Weight
Adjusted Membership	1,381	1,946	2,320	1,381	1,946	2,320	Adjusted Membership
Member-Adjusted Period Weight	24.5%	34.5%	41.1%	24.5%	34.5%	41.1%	Member-Adjusted Period Weight
Medical PMPM Claims Projection	\$518.10			\$205.96			Rx PMPM Claims Projection
% of Total PMPM Claims Projection	71.55%			26.45%			% of Total PMPM Claims Projection
<b>Total PMPM Claims Projection</b>	<b>\$724.06</b>						
Current Membership <sup>4</sup>	521						
Monthly Claims	\$377,236						
Annual Claims Projection	<b>\$4,526,827</b>						
Current Enrollment <sup>4</sup>	336						
<b>Total PEPM Claims Projection</b>	<b>\$1,122.72</b>						

1 Claims reflect the removal of high case dollars that exceeded a \$50,000 threshold and the addition of claims liability between the \$50,000 threshold and \$125,000 specific deductible.  
 2 Adjustments made due to any benefit changes within the analysis period.  
 3 Adjusted claims for immature plan year.  
 4 Enrollment and membership based on data from the most recent month.



# Lakes Regional Community Center

## Total Medical Plan Cost Summary

	2020-2021 Budget - FINAL DECISIONS		2020-2021 EOY Forecast		2021-2022 Renewal	
TPA	BCBS		BCBS		BCBS	
PBM	BCBS		BCBS		BCBS	
Stop Loss Carrier	BCBS		BCBS		BCBS	
Stop Loss Limit	\$125,000		\$125,000		\$125,000	
	PEPM	Annual	PEPM	Annual	PEPM	Annual
Enrollment	343		334		336	
Expected Claims (Med & Rx)	\$1,069.29	\$4,401,218	\$1,023.99	\$4,101,079	\$1,122.72	\$4,526,827
Stop Loss Premium	\$161.62	\$665,228	\$161.62	\$647,288	\$172.76	\$696,568
Medical Administrative Fee	\$78.65	\$323,723	\$78.65	\$314,993	\$81.01	\$326,632
Telehealth	Included	\$0	Included	\$0	Included	\$0
Rx Administration	Included	\$0	Included	\$0	Included	\$0
Wellbeing Management	Included	\$0	Included	\$0	Included	\$0
Stop-loss Interface Fee	n/a	\$0	n/a	\$0	n/a	\$0
PCORI Fee	\$0.00	\$0	\$0.34	\$1,370	\$0.37	\$1,472
Medical Rebate Credit	\$0.00	\$0	\$0.00	\$0	(\$2.10)	(\$8,467)
Pharmacy Rebates	(\$41.46)	(\$170,649)	(\$41.46)	(\$166,047)	(\$48.78)	(\$196,681)
<b>Total Expected Cost</b>	<b>\$1,268.10</b>	<b>\$5,219,520</b>	<b>\$1,223.14</b>	<b>\$4,898,683</b>	<b>\$1,325.98</b>	<b>\$5,346,351</b>
Δ % From Budget			-3.5%	-6.1%	4.6%	2.4%
Δ \$ From Budget			(\$44.96)	(\$320,837)	\$57.87	\$126,831
<b>Total Maximum Cost</b>	<b>\$1,120.78</b>	<b>\$4,613,130</b>	<b>\$1,120.78</b>	<b>\$4,488,724</b>	<b>\$1,329.74</b>	<b>\$5,361,512</b>
Δ % From Budget			0.0%	-2.7%	18.6%	16.2%
Δ \$ From Budget			\$0.00	(\$124,407)	\$208.96	\$748,381
<b>Employee Contributions</b>	<b>\$198.73</b>	<b>\$817,992</b>	<b>\$204.24</b>	<b>\$817,992</b>	<b>\$226.22</b>	<b>\$912,120</b>
<b>Net Employer Cost</b>	<b>\$1,069.37</b>	<b>\$4,401,528</b>	<b>\$1,018.90</b>	<b>\$4,080,691</b>	<b>\$1,099.76</b>	<b>\$4,434,231</b>
Δ % From Budget			-4.7%	-7.3%	2.8%	0.7%
Δ \$ From Budget			(\$50.47)	(\$320,837)	\$30.39	\$32,703

(1) 2021 claims projections developed by Hays Companies  
(2) Currently priced at a \$125,000 specific stop loss level.





# Lakes Regional Community Center

## Medical Rate Alignment Contributions - Renewal As-Is (Whole Dollar Contributions)

Value Plan

	2020-2021 Budget Rates w/ Current Enrollment				2021-2022 Renewal				% Change				\$ Change			
	EE	ES	EC	FAM	EE	ES	EC	FAM	EE	ES	EC	FAM	EE	ES	EC	FAM
<b>Enrollment</b>	176	16	34	26	176	16	34	26								
Rate Alignment by Tier	1.00	2.33	1.91	2.84	1.00	2.34	1.92	2.85								
<b>Monthly Budget Rate</b>	\$885.00	\$2,065.00	\$1,688.00	\$2,514.00	\$970.00	\$2,265.00	\$1,860.00	\$2,765.00	9.6%	9.7%	10.2%	10.0%	\$85.00	\$200.00	\$172.00	\$251.00
Monthly Employee Contribution	\$25.00	\$565.00	\$383.00	\$779.00	\$60.00	\$600.00	\$400.00	\$800.00	140.0%	6.2%	4.4%	2.7%	\$35.00	\$35.00	\$17.00	\$21.00
Semi-Monthly Employee Contribution	\$12.50	\$282.50	\$191.50	\$389.50	\$30.00	\$300.00	\$200.00	\$400.00	140.0%	6.2%	4.4%	2.7%	\$17.50	\$17.50	\$8.50	\$10.50
Employee Contribution Alignment by Tier	1.00	22.60	15.32	31.16	1.00	10.00	6.67	13.33								
Employee Contribution Percentage	2.8%	27.4%	22.7%	31.0%	6.2%	26.5%	21.5%	28.9%								
ER Contribution	\$560.00	\$1,500.00	\$1,305.00	\$1,735.00	\$910.00	\$1,685.00	\$1,450.00	\$1,965.00	5.6%	11.0%	11.9%	13.3%	\$50.00	\$165.00	\$155.00	\$230.00
ER Contribution Percentage	97.2%	72.6%	77.3%	69.0%	93.8%	73.5%	78.5%	71.1%								
<b>Total Employee Annual Contribution</b>		\$560,592				\$654,720										\$94,128
Total Employee Annual Contribution %		15.0%				15.9%										16.8%
<b>Total ER Annual Contribution</b>		\$3,178,080				\$3,450,360										\$272,280
Total ER Annual Contribution %		85.0%				84.1%										8.8%
<b>Total Annual Contribution</b>		\$3,738,672				\$4,105,080										\$366,408
																9.8%

Enhanced Plan

	2020-2021 Budget Rates w/ Current Enrollment				2021-2022 Renewal				% Change				\$ Change			
	EE	ES	EC	FAM	EE	ES	EC	FAM	EE	ES	EC	FAM	EE	ES	EC	FAM
<b>Enrollment</b>	72	1	6	5	72	1	6	5								
Rate Alignment by Tier	1.00	2.33	1.89	2.84	1.00	2.33	1.91	2.85								
<b>Monthly Budget Rate</b>	\$1,042.00	\$2,423.00	\$1,973.00	\$2,956.00	\$1,035.00	\$2,408.00	\$1,973.00	\$2,946.00	-0.7%	-0.6%	0.0%	-0.3%	(\$7.00)	(\$15.00)	\$0.00	(\$10.00)
Monthly Employee Contribution	\$147.00	\$908.00	\$663.00	\$1,196.00	\$147.00	\$908.00	\$663.00	\$1,196.00	0.0%	0.0%	0.0%	0.0%	\$0.00	\$0.00	\$0.00	\$0.00
Semi-Monthly Employee Contribution	\$73.50	\$454.00	\$331.50	\$598.00	\$73.50	\$454.00	\$331.50	\$598.00	0.0%	0.0%	0.0%	0.0%	\$0.00	\$0.00	\$0.00	\$0.00
Employee Contribution Alignment by Tier	1.00	6.18	4.51	8.14	1.00	6.18	4.51	8.14								
Employee Contribution Percentage	14.1%	37.5%	33.6%	40.5%	14.2%	37.7%	33.6%	40.6%								
ER Contribution	\$895.00	\$1,515.00	\$1,310.00	\$1,768.00	\$898.00	\$1,500.00	\$1,310.00	\$1,750.00	-0.8%	-1.0%	0.0%	-0.6%	(\$7.00)	(\$15.00)	\$0.00	(\$10.00)
ER Contribution Percentage	85.9%	62.5%	66.4%	59.5%	85.8%	62.3%	66.4%	59.4%								
<b>Total Employee Annual Contribution</b>		\$257,400				\$257,400										\$0
Total Employee Annual Contribution %		20.6%				20.7%										0.0%
<b>Total ER Annual Contribution</b>		\$991,380				\$984,552										(\$6,828)
Total ER Annual Contribution %		79.4%				79.3%										-0.7%
<b>Total Annual Contribution</b>		\$1,248,780				\$1,241,952										(\$6,828)
																-0.8%
<b>Total Employee Annual Contribution</b>		\$817,992				\$912,120										\$94,128
Total Employee Annual Contribution %		16.4%				17.1%										11.5%
<b>Total ER Annual Contribution</b>		\$4,169,460				\$4,434,912										\$265,452
Total ER Annual Contribution %		83.6%				82.9%										6.4%
<b>Total Annual Contribution</b>		\$4,987,452				\$5,347,032										\$359,580
																7.2%



# Lakes Regional Community Center

## Total Health Welfare Summary

Benefit	Carrier / Vendor	2020-2021 Budget			2020-2021 Forecast EOY Projection			2021-2022 Renewal (2 plans)		
		Employee Cost	Lakes Regional Cost	Total Cost	Employee Cost	Lakes Regional Cost	Total Cost	Employee Cost	Lakes Regional Cost	Total Cost
Medical	BCBS of Texas	\$817,992	\$4,401,528	\$5,219,520	\$817,992	\$4,080,691	\$4,898,683	\$912,120	\$4,434,912	\$5,347,032
Dental	Ameritas	\$187,458	\$0	\$187,458	\$187,458	\$0	\$187,458	\$192,156	\$0	\$192,156
Vision	Ameritas	\$40,012	\$0	\$40,012	\$40,012	\$0	\$40,012	\$40,012	\$0	\$40,012
Basic Life/AD&D	Mutual of Omaha	\$0	\$8,640	\$8,640	\$0	\$8,640	\$8,640	\$0	\$8,640	\$8,640
Voluntary Life/AD&D	Mutual of Omaha	\$128,937	\$0	\$128,937	\$128,937	\$0	\$128,937	\$128,937	\$0	\$128,937
STD	Mutual of Omaha	\$0	\$81,030	\$81,030	\$0	\$81,030	\$81,030	\$0	\$81,030	\$81,030
Voluntary LTD	Mutual of Omaha	\$77,414	\$0	\$77,414	\$77,414	\$0	\$77,414	\$77,414	\$0	\$77,414
Accident	Mutual of Omaha	\$26,729	\$0	\$26,729	\$26,729	\$0	\$26,729	\$26,729	\$0	\$26,729
Critical Illness	Mutual of Omaha	\$48,874	\$0	\$48,874	\$48,874	\$0	\$48,874	\$48,874	\$0	\$48,874
FSA Administration	Discovery / WEX	\$0	\$1,991	\$1,991	\$0	\$1,991	\$1,991	\$0	\$1,991	\$1,991
COBRA Administration	Discovery / WEX	\$0	\$2,628	\$2,628	\$0	\$2,628	\$2,628	\$0	\$2,628	\$2,628
FMLA Administration	Mutual of Omaha	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Benefits Administration	EBTech / Bswift	\$0	\$949	\$949	\$0	\$949	\$949	\$0	\$949	\$949
<b>Annual Cost</b>		<b>\$1,327,416</b>	<b>\$4,496,766</b>	<b>\$5,824,182</b>	<b>\$1,327,416</b>	<b>\$4,175,929</b>	<b>\$5,503,345</b>	<b>\$1,426,242</b>	<b>\$4,530,150</b>	<b>\$5,956,392</b>
Δ % From Budget					0.0%	-7.1%	-5.5%	7.4%	0.7%	2.3%
Δ \$ From Budget					\$0	(\$320,837)	(\$320,837)	\$98,826	\$33,384	\$132,210
Δ % From EOY Projection								7.4%	8.5%	8.2%
Δ \$ From EOY Projection								\$98,826	\$354,221	\$453,047





**AGENDA ITEM NO. 07.05.21**

**Recommendations for Approval:**

- Review and take possible action to approve FY'22 Employee Insurance Benefits.

**Rationale:**

Jessica to give overall review for the following item(s):

- FY'22 Employee Insurance Benefits
  - Motion to approve FY'22 Employee Insurance Benefits





**AGENDA Item No: 07.06.21**  
**Executive Director's Report:**

**Recommended Board Action:**  
None: For Information Only

**Rational:**

Executive Director Report:

1. Board Related :

Open Meetings Act Suspension Update:

On June 30, 2021, Governor Abbott's office approved a request by the Office of the Attorney General to lift the suspensions of certain provisions of the Open Meetings Act. The suspensions will lift at 12:01 a.m. on September 1, 2021. This means that our Board meetings will go back to regular compliance with the open meetings act as we had prior to the current COVID related flexibilities being granted by the Governor. Board members will still be able to attend meetings by video or phone conference; but the Chair or his designee, must be in the designated location where the meeting is scheduled.

In addition, Linda Spradlin, Franklin County representative has decided to leave the Board when her term expires this month. We will recognize her for her service at the meeting this month. Franklin County Judge, Scott Lee has designated County Sheriff, Ricky Jones as their next representative. Sheriff Jones will be at the August meeting.

2. 1115 Transformation Waiver and FY-22 Budget Assumptions:

- The July payment for Demonstration Year 10 (DY-10) should be received this week. Next fiscal year will be the last payment year for this iteration of the 1115 DSRIP program. September will also bring the start of the Directed Payment Program for Behavioral Health Services (DPP-BHS). This is the first of two replacement programs that HHSC is using to maintain Community Center funds when the 1115 DSRIP program ends. The DPP-BHS will be paid as a monthly add-on to our current Medicaid billing and include 2 larger payments a year at 6 month intervals, similar to current DSRIP reporting periods.

3. COVID Response:

We are continuing to follow current CDC guidelines, and utilize precautions when necessary at all sites. All of the state's waivers to allow for increased use of telephone and telehealth services remained in effect and have been renewed for another 30-day period.



4. Texas Council Update:

The ED Consortium held its monthly meeting last week and discussed planning sessions for the November Staff and Trustee Conference in Austin. Council staff are still analyzing bills passed in the last legislative session but have put together an end of session report that provides summaries of new laws passed that are relevant to Community Centers. I can forward it to Board members who would like a copy.

5. East Texas Behavioral Health Network (ETBHN):

The Regional Oversight Committee (ROC) for ETBHN is meeting in August to approve the next year's budget for the network.





**AGENDA ITEM NO. 07.07.21**  
Fiscal Reports

**RECOMMENDATIONS FOR APPROVAL:**

- Center's financial statement for the month(s) of May, 2021 and June, 2021
- Center's 3rd Quarterly Investment Report

**Rationale:**

- Review and discussion of the Center's financial statement for the month(s) of May, 2021 and June, 2021 for approval.
- Review and discussion of the Center's 3rd Quarterly Investment Report for approval.



Lakes Regional Community Center  
Financial Report  
For the Month of May 2021

Erwin Hancock  
Chief Financial Officer

July 28, 2021





**Lakes Regional Community Center  
Financial Report Outline**

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Lakes Regional Community Center  
 Financial Summary for the Month Ending May 31, 2021

Profit and Loss Summary

	Current Month	Year to Date
Revenues	\$4,184,496	\$29,460,294
Expenses	\$3,235,081	\$27,068,593
Net Income	\$949,415	\$2,391,701

Balance Sheet Summary

	Current YTD as of May 31, 2021	Last YTD as of May 31, 2020	Year to Year Change
Total Assets	\$35,286,631	\$30,106,029	\$ 5,180,602
Total Liabilities	\$11,420,916	\$15,687,534	\$ (4,266,618)
Fund Balance	\$23,865,715	\$14,418,495	\$ 9,447,220





Lakes Regional Community Center  
Balance Sheet

	As of <u>5/31/2021</u>	As of <u>5/31/2020</u>	Net Change
<b>Current Assets</b>			
Cash	\$ 12,963,431	\$ 9,221,375	\$ 3,742,056
Accounts Receivable	5,787,237	4,344,360	1,442,877
Other Current Assets	430,526	227,790	202,736
<b>Total Current Assets</b>	<b>\$ 19,181,194</b>	<b>\$ 13,793,525</b>	<b>\$ 5,387,669</b>
<b>Long-Term Assets</b>			
Fixed Assets (net of depreciation)	\$ 7,985,910	\$ 8,187,682	\$ (201,772)
Other Long-Term Assets	8,119,527	8,124,822	(5,295)
<b>Total Long-Term Assets</b>	<b>\$ 16,105,437</b>	<b>\$ 16,312,504</b>	<b>\$ (207,067)</b>
<b>Total Assets</b>	<b>\$ 35,286,631</b>	<b>\$ 30,106,029</b>	<b>\$ 5,180,602</b>
<b>Current Liabilities</b>			
Accounts Payable	\$ 692,689	\$ 735,625	\$ (42,936)
Accrued Expenses	904,105	580,217	\$323,888
Short-term Debt	1,704,595	6,246,870	(4,542,275)
<b>Total Current Liabilities</b>	<b>\$ 3,301,389</b>	<b>\$ 7,562,712</b>	<b>\$ (4,261,323)</b>
Long-term Debt	\$ 8,119,527	\$ 8,124,822	\$ (5,295)
<b>Total Long-Term Debt</b>	<b>\$ 8,119,527</b>	<b>\$ 8,124,822</b>	<b>\$ (5,295)</b>
<b>Total Liabilities</b>	<b>\$ 11,420,916</b>	<b>\$ 15,687,534</b>	<b>\$ (4,266,618)</b>
Investment In General Fund Assets	\$ 8,173,410	\$ 8,187,682	\$ (14,272)
Fund Balance at Beginning of Year	15,692,305	6,230,813	9,461,492
<b>Total Equities and other Credits</b>	<b>\$ 23,865,715</b>	<b>\$ 14,418,495</b>	<b>\$ 9,447,220</b>
<b>Total Liabilities, Equities and other Credits</b>	<b>\$ 35,286,631</b>	<b>\$ 30,106,029</b>	<b>\$ 5,180,602</b>







**Lakes Regional Community Center**  
**Income Statement Recap by Division**  
**As of May 31, 2021**

<u>Division</u>	<u>Current Month</u>	<u>Year to Date</u>
MH	\$ 41,770	(177,545)
Mental Health First Aid & Outreach	620	2,143
Hospitality House	(2,371)	(81,946)
IDD	438,382	1,205,026
ECI	67	(4,070)
Administration	(3,412)	512
Bonham Property	74	(13,112)
Expending Fundraising	(704)	(934)
1115 Waiver	442,486	1,402,019
Medical Prescriber Services	24,932	40,166
Fema Grant	416	(2,334)
CCBHC Expansion Grant	8,051	14,786
Collaborative Grant	(121)	9,578
Education Services Pittsburgh	(773)	(2,587)
<b>Total Lakes</b>	<b>\$ 949,415</b>	<b>\$ 2,391,701</b>





Lakes Regional Community Center  
Comparative Income Statement for the Month ended May 31, 2021

		<u>5/31/2021</u>	<u>5/31/2020</u>	Variance	Var %
<b>Revenues</b>					
General Revenue IDD		\$260,371	\$5,059	\$255,312	5047%
General Revenue MH		\$686,180	\$360,225	\$325,955	90%
Early Childhood Intervention Revenue		\$67,684	\$114,283	(\$46,599)	-41%
1115b Waiver Revenue	note 1	\$834,430	\$0	\$834,430	
NTBHA Revenue		\$246,989	\$239,848	\$7,141	3%
Medicaid Revenue		\$298,651	\$298,945	(\$294)	0%
Medicare Revenue		\$2,047	\$4,349	(\$2,302)	-53%
HCS Revenue		\$404,384	\$390,544	\$13,840	4%
Managed Care Revenue		\$103,053	\$94,872	\$8,181	9%
Private Insurance		\$7,605	\$3,307	\$4,298	130%
Client Fees		\$3,325	\$3,565	(\$240)	-7%
Other Revenue	note 2	\$1,269,777	\$1,413,564	(\$143,787)	-10%
<b>Total Revenues</b>		<b>\$4,184,496</b>	<b>\$2,928,561</b>	<b>\$1,255,935</b>	<b>43%</b>
<b>Expenses</b>					
Salaries and Wages	note 3	\$1,729,601	\$1,423,147	\$306,454	22%
Employee Benefits	note 4	\$542,105	\$503,909	\$38,196	8%
Staff Training		\$3,903	\$2,464	\$1,439	58%
Furniture and Equipment		\$4,561	\$532	\$4,029	757%
Maintenance and Repairs		\$33,779	\$12,002	\$21,777	181%
Utilities		\$4,639	\$32,001	(\$27,362)	-86%
Client Support		\$6,516	\$1,854	\$4,662	251%
Supplies		\$19,672	\$19,906	(\$234)	-1%
Vehicle Maintenance		\$3,510	\$1,674	\$1,836	110%
Insurance Costs		\$29,327	\$26,369	\$2,958	11%
Debt Service		\$55,862	\$54,493	\$1,369	3%
Other Expenses		\$801,607	\$753,477	\$48,130	6%
<b>Total Expenses</b>		<b>\$3,235,081</b>	<b>\$2,831,828</b>	<b>\$403,253</b>	<b>14%</b>
<b>Net Surplus/(Deficit)</b>		<b>\$949,415</b>	<b>\$96,733</b>	<b>\$852,682</b>	<b>881%</b>

**note1** Includes May 1115 budgeted excess monthly allocation increase of \$273,242. Additional 1115 funding to be received after July 2021 IGT Payment.

**note2** Required recognition of Provider Relief Funding - 1) Expenditures for COVID Goodwill Payroll and Benefits - \$293,170;  
2) Reimbursement for COVID related expenditures March 2020 - March 2021 - \$180,174. 3) Compensation for lost revenues associated with cessation of certain day-habilitation services due to COVID precautions. March 20 - Feb 21 - \$157,434

**note3** Includes Expenditures for COVID Goodwill Payroll - \$273,337.

**note4** Includes Expenditures for COVID Goodwill Benefits - \$20,834.







Lakes Regional Community Center  
Comparative Income Statement for the period ended May 31, 2021

	<u>YTD ended</u> 05/31/2021	<u>YTD ended</u> 05/31/2020	Variance	Var %
<b>Revenues</b>				
General Revenue IDD	\$2,142,622	\$1,483,620	\$659,002	44%
General Revenue MH	\$6,048,128	\$5,451,088	\$597,040	11%
Early Childhood Intervention Revenue	\$808,204	\$782,484	\$25,720	3%
1115b Waiver Revenue	\$5,323,934	\$4,184,616	\$1,139,318	27%
NTBHA Revenue	\$1,958,003	\$2,113,976	(\$155,973)	-7%
Medicaid Revenue	\$2,665,401	\$2,721,817	(\$56,416)	-2%
Medicare Revenue	\$21,965	\$60,664	(\$38,699)	-64%
HCS Revenue	\$3,527,052	\$3,511,589	\$15,463	0%
Managed Care Revenue	\$939,356	\$897,089	\$42,267	5%
Private Insurance	\$48,423	\$72,241	(\$23,818)	-33%
Client Fees	\$28,651	\$57,908	(\$29,257)	-51%
Other Revenue	\$5,948,556	\$5,594,816	\$353,740	6%
<b>Total Revenues</b>	<b>\$29,460,294</b>	<b>\$26,931,908</b>	<b>\$2,528,386</b>	<b>9%</b>
<b>Expenses</b>				
Salaries and Wages	\$13,496,951	\$12,997,698	\$499,253	4%
Employee Benefits	\$4,696,205	\$4,445,360	\$250,845	6%
Staff Training	\$32,569	\$26,765	\$5,804	22%
Furniture and Equipment	\$40,894	\$200,698	(\$159,804)	-80%
Maintenance and Repairs	\$127,734	\$170,759	(\$43,025)	-25%
Utilities	\$323,568	\$333,490	(\$9,922)	-3%
Client Support	\$45,404	\$25,728	\$19,676	76%
Supplies	\$219,960	\$232,173	(\$12,213)	-5%
Vehicle Maintenance	\$27,399	\$40,704	(\$13,305)	-33%
Insurance Costs	\$265,374	\$237,321	\$28,053	12%
Debt Service	\$488,592	\$501,196	(\$12,604)	-3%
Other Expenses	\$7,303,942	\$7,104,073	\$199,869	3%
<b>Total Expenses</b>	<b>\$27,068,593</b>	<b>\$26,315,965</b>	<b>\$752,628</b>	<b>3%</b>
<b>Net Surplus/(Deficit)</b>	<b>\$2,391,701</b>	<b>\$615,943</b>	<b>\$1,775,758</b>	<b>-288%</b>















Building Rent, Repair, Maintenance	105,974	122,208	120,497	111,558	113,150	97,254	127,502	113,912	138,323
Building Rent to Other Programs									
Vehicle Operating Expense	3,140	2,876	1,910	3,491	2,041	2,476	3,986	3,968	3,510
Vehicle Fuel Costs	2,618	2,970	2,558	2,558	2,853	2,867	4,088	4,384	4,641
Non-Client Utilities	30,461	19,715	47,906	35,460	54,274	45,134	43,795	42,184	4,639
Telecommunications	18,188	27,582	27,553	27,713	28,016	27,709	29,071	36,821	31,211
Data Connect/Internet Access	7,455	7,455	7,455	7,455	7,489	7,455	7,455	0	7,455
Crisis Hotline Answering Svc	3,000	3,000	2,500	2,500	2,500	2,500	2,500	2,500	2,500
Insurance	29,677	29,677	29,677	29,677	29,677	28,993	29,335	29,335	29,327
Client Support Costs	1,595	1,592	5,550	2,326	6,398	5,438	6,924	9,065	6,516
Peer Training and Support									
Client Reimbursable Services	9,463	9,300	3,615	6,070	9,488	3,878	8,390	13,730	4,868
NTBHA Supported Housing	3,836	5,450	7,144	8,333	4,430	4,658	5,598	7,970	5,744
Debt Service	54,410	58,207	49,628	53,907	53,928	54,750	53,639	54,264	55,862
Interest on PPP Loan									
COVID-19 Expenses	7,824	7,375	7,514	7,783	6,530	8,854	4,612	3,307	3,978
ECI Client Support Costs	0	0	0	450	345	0	240		688
DSRIP Audit Costs									
Expanding Fund Raising Funds	0	90	677	1,164	0	583	866	note4 (310)	1,639
Program Indirect									
LRMHRC Board Expenses	125	100	0	0	145	106	20	note5 (51)	420
Expanding Red River Funds	0	287	0	0	35	0	0	10	49
Expanding Empowerment Funds				note1 (200)					
ECI In-Kind Volunteers									
Service Costs Unallowable	4,913	4,663	4,461	6,695	4,918	4,042	4,669	5,274	5,647
Total Other Operating Expenses	889,563	950,614	951,328	1,116,148	959,815	950,835	1,074,907	1,018,853	963,375
TOTAL EXPENDITURES	2,881,132	2,905,167	2,859,497	3,121,359	2,993,482	3,002,425	3,003,392	3,067,058	3,235,081
Excess (deficiency) of revenues over expenditures	\$ 117,080	\$ 306,069	\$ 187,535	\$ 221,376	\$ 128,402	\$ 93,142	\$ 319,306	\$ 69,375	\$ 949,415

note 1 - Void Check from Prior Fiscal Year  
note 2 - Includes \$107,603 additional MAC Revenue recorded to adjust 3Qtr2020 accrual to actual billed  
note 3 - Reduction in March salaries are due to the reduction of one physician FTE by 20%, and two nurse practitioner FTEs by 10%. This also slightly reduces benefit costs.  
note 4 - Reclassification for account coding to be entered in May financial report.  
note 5 - Void check for board member travel.  
note 6 - Required Recognition of Provider Relief Funding - 1) Expenditures for COVID Goodwill Payroll and Benefits - \$293,170. 2) Reimbursement for COVID related expenditures March 2020 - March 2021 - \$180,174. 3) Compensation for lost revenues associated with day-habilitation services due to COVID precautions. March 20 - Feb 21 - \$157,434.  
note 7 - Includes May 1115 budgeted excess monthly allocation increase of \$273,242. Additional 1115 funding to be received after July 2021 IGT Payment.  
note 8 - Includes Expenditure for COVID Goodwill Payroll - \$273,337.  
note 9 - Includes Expenditures for COVID Goodwill Benefits - \$20,834.





Lakes Regional Community Center  
 FY21 Aged Accounts Receivable  
 MAY, 2021

Accounts Reivable Description	Amount	MAY	APR 30 Days	MAR 60 Days	FEB 90 Days	JAN & prior 120 +
MAC Adm Claim	835,560.90	74,507	74,507	74,507	74,507	537,533
<b>NTBHA:</b>						
Substance Abuse	1,963.11	1,963	-	-	-	-
ACT - addt'l billed svcs	3,300.00	1,100	-	2,200	-	-
Medicaid	343,242.85	281,919	10,313	5,848	8,917	36,245
Medicare **	396.38	323	74	-	-	-
Private Insurance **	1,530.82	1,058	347	125	-	-
Chip **	441.52	183	125	133	-	-
<b>MANAGED CARE:</b>						
Amerigroup	29,093.19	23,342	5,751	-	-	-
Superior (Cenpatico)	34,648.80	28,163	932	1,463	4,090	-
Optum	17,708.46	15,444	2,265	-	-	-
Cigna	10,157.22	10,126	31	-	-	-
Texas Childrens Plan	1,466.62	818	459	47	100	42
Beacon	8,761.59	8,513	114	135	-	-
Molina	25,484.08	18,488	4,444	2,478	54	20
Aetna Better Health	6,004.37	2,217	618	220	338	2,612
Texas Home Living - North	40,560.60	33,969	6,591	-	-	-
Texas Home Living - South	18,874.54	17,829	1,045	-	-	-
HCS - North	280,789.45	279,824	966	-	-	-
HCS - South	100,310.12	99,691	619	-	-	-
Reimbursable Svcs-TxHmL North & South	1,916.00	553	857	378	128	-
Reimbursable Svcs-HCS North	16,422.98	1,719	9,235	910	240	4,320
Reimbursable Svcs-HCS South	2,579.97	1,776	130	4	-	669
HCS Rm/Brd	-	-	-	-	-	-
ICF Residential Homes	141,002.80	132,410	3,548	5,045	-	-
Block Grant/TANF-Title XX Gen Revenue	59,914.50	19,972	19,972	19,972	-	-
Block Grant Supp Housing	-	-	-	-	-	-
CCBHC Expansion Grant	116,411.00	116,411	-	-	-	-
Supported Employment	1,500.00	1,500	-	-	-	-
Day Hab Billings (Private Providers)	3,413.69	2,467	668	195	-	84
1048 IDD Billed Svcs	3,933.83	3,038	896	-	-	-
ECI Grant Revenue	165,104.45	67,684	97,420	-	-	-
ECI Respite	-	-	-	-	-	-
ECI Priv Ins	3,160.57	1,616	764	488	165	128
ECI Medicaid	30,456.33	29,533	608	315	-	-
ECI Managed Care	46,986.59	39,318	6,355	978	-	335
ECI Chip	177.83	22	7	30	30	89
A/R Other Employees	-	-	-	-	-	-
A/R Employee Insurance (Cobra)	-	-	-	-	-	-
TCOOMMI GRANT	69,068.35	16,800	26,820	25,449	-	-
TDCJ Contract-Greenville	11,599.00	7,309	4,290	-	-	-
TDCJ - Sherman/Bonham/Paris	41,202.04	14,382	12,939	-	10,475	3,407
Fannin County Drug Court	2,000.00	2,000	-	-	-	-
Grayson County Drug Court	-	-	-	-	-	-
Titus County Drug Court	1,700.00	1,700	-	-	-	-
DSHS Region 3	15,808.31	15,808	-	-	-	-
DSHS Region 4	26,370.65	18,550	7,821	-	-	-
DSHS MHFA Outreach	5,839.67	5,840	-	-	-	-
ICF Upper Payment Limit	48,792.00	24,396	24,396	-	-	-
SAC Prog -Hunt County	2,657.09	2,657	-	-	-	-
ECC - (Enhanced Comm Coord)	15,040.74	8,615	6,426	-	-	-
Pharmacy Rental Income	-	-	-	-	-	-
Comm Education (Curt Pitton)	10,033.50	4,904	5,129	-	-	-
1115 Waiver Fed Share **accrual	3,176,053.58	834,430	561,188	561,188	561,188	658,060
Misc Revenue - 1370-1200 - \$7,796.60	-	-	-	-	-	-
MAY NTBHA Coffee House expenses	7,796.60	7,797	-	-	-	-

Balance Due 5,787,236.69      GL bal      bal ck      -







## Financial Ratios Lakes Regional Community Center

For period ended May 31, 2021  
Unaudited

Current Ratio:		
Current Assets	\$	19,181,194
Current Liabilities (note 1)	\$	3,301,389
Ratio		5.81
Greater than 1.5?		yes

Tangible Net Worth		
Equity	\$	23,865,715
Subordinated debt		
Intangible Assets		
Adjusted	\$	23,865,715
Debt/Worth Ratio:		
Total Liabilities	\$	11,420,916
Tangible Net Worth	\$	23,865,715
Ratio		0.48
Less than 2.0?		yes

Cash Flow Coverage		
Net Income	\$	2,391,701
Add Depreciation	\$	-
Add Interest Expense	\$	99,045
Cash Flow Available	\$	2,490,746
Debt Service	\$	389,547
Cashflow Surplus	\$	2,101,199
Ratio		6.39
Greater than 1.0?		yes

Days Cash on Hand		
Cash and Equivalents	\$	12,963,431
Annual Expenditures	\$	40,909,141
Daily Expenditure	\$	112,080
Days Cash on hand		116

Note: Reduced by items classified as other Long-Term Assets (included previously).






**Lakes Regional Community Center Quarterly Investment Report  
For the 9 Months Ending May 31, 2021**

Particulars		Amount
Beginning Balance September 1, 2020:		
	TexPool	\$ 3,168,165
	Certificate of Deposit	\$ 1,033,801
	Business Star A/C	\$ 6,054,647
	Omaha Res. Savings	\$ 8,257
	Total Sept. 1, 2020	\$10,264,870
Ending Balance May 31, 2021:		
	TexPool	\$ 3,920,402
	Certificates of Deposit	\$ 1,034,501
	Business Star A/C	\$ 6,005,284
	Omaha Res. Savings	\$ 8,260
	Total May 31, 2021	\$10,968,448
<b>Net Change</b>		<b>\$ 703,578</b>

The market value and the book value of our fund in TexPool are the same. The aforementioned funds are available upon demand and thus do not have a maturity date. Lakes investments include 2 certificates of deposit that pay interest at the rate of 0.40%. Lakes, additionally earns 0.40% interest on its business investment account at the American National Bank.

Lakes Regional Community Center's investment portfolio is in compliance with the Lakes Regional Community Center Investment and Cash Management Policy 4.07 and is in compliance with the Public Funds Investment Act.

Respectfully submitted,




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Erwin Hancock  
Chief Financial Officer  
Investment Officer





Lakes Regional Community Center  
Financial Report  
For the Month of June 2021

Erwin Hancock  
Chief Financial Officer

July 28, 2021





**Lakes Regional Community Center**  
**Financial Report Outline**

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Calcasieu Regional Community Center  
Financial Report Outline

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Lakes Regional Community Center  
 Financial Summary for the Month Ending June 30, 2021

Profit and Loss Summary

	Current Month	Year to Date
Revenues	\$3,669,295	\$33,129,589
Expenses	\$3,084,927	\$30,153,519
Net Income	\$584,369	\$2,976,070

Balance Sheet Summary

	Current YTD as of June 30, 2021	Last YTD as of June 30, 2020	Year to Year Change
Total Assets	\$31,902,902	\$29,838,603	\$ 2,064,299
Total Liabilities	\$7,452,818	\$15,378,712	\$ (7,925,894)
Fund Balance	\$24,450,084	\$14,459,891	\$ 9,990,193





Lakes Regional Community Center  
Balance Sheet

	As of <u>6/30/2021</u>	As of <u>6/30/2020</u>	Net Change
<b>Current Assets</b>			
Cash	\$ 12,688,966	\$ 8,952,509	\$ 3,736,457
Accounts Receivable	6,517,005	4,250,476	2,266,529
Other Current Assets	962,116	181,775	780,341
<b>Total Current Assets</b>	<b>\$ 20,168,087</b>	<b>\$ 13,384,760</b>	<b>\$ 6,783,327</b>
<b>Long-Term Assets</b>			
Fixed Assets (net of depreciation)	\$ 7,985,910	\$ 8,187,682	\$ (201,772)
Other Long-Term Assets	3,748,905	8,266,161	(4,517,256)
<b>Total Long-Term Assets</b>	<b>\$ 11,734,815</b>	<b>\$ 16,453,843</b>	<b>\$ (4,719,028)</b>
<b>Total Assets</b>	<b>\$ 31,902,902</b>	<b>\$ 29,838,603</b>	<b>\$ 2,064,299</b>
<b>Current Liabilities</b>			
Accounts Payable	\$ 809,013	\$ 915,521	\$ (106,508)
Accrued Expenses	862,872	605,117	\$257,755
Short-term Debt	2,032,028	5,591,913	(3,559,885)
<b>Total Current Liabilities</b>	<b>\$ 3,703,913</b>	<b>\$ 7,112,551</b>	<b>\$ (3,408,638)</b>
Long-term Debt	\$ 3,748,905	\$ 8,266,161	\$ (4,517,256)
<b>Total Long-Term Debt</b>	<b>\$ 3,748,905</b>	<b>\$ 8,266,161</b>	<b>\$ (4,517,256)</b>
<b>Total Liabilities</b>	<b>\$ 7,452,818</b>	<b>\$ 15,378,712</b>	<b>\$ (7,925,894)</b>
Investment In General Fund Assets	\$ 8,173,410	\$ 8,187,682	\$ (14,272)
Fund Balance at Beginning of Year	16,276,674	6,272,209	10,004,465
<b>Total Equities and other Credits</b>	<b>\$ 24,450,084</b>	<b>\$ 14,459,891</b>	<b>\$ 9,990,193</b>
<b>Total Liabilities, Equities and other Credits</b>	<b>\$ 31,902,902</b>	<b>\$ 29,838,603</b>	<b>\$ 2,064,299</b>





**Lakes Regional Community Center**  
**Income Statement Recap by Division**  
**As of June 30, 2021**

<u>Division</u>	<u>Current Month</u>	<u>Year to Date</u>
MH	\$ 2,419	(175,126)
Mental Health First Aid & Outreach	(99)	2,043
Hospitality House	2,255	(79,691)
IDD	135,406	1,340,432
ECI	2,597	(1,472)
Administration	24,915	25,426
Bonham Property	74	(13,038)
Expending Fundraising	784	(150)
1115 Waiver	401,849	1,803,867
Medical Prescriber Services	13,038	53,204
Fema Grant	0	(2,334)
CCBHC Expansion Grant	5,538	20,324
Collaborative Grant	(3,509)	6,069
Education Services Pittsburgh	(898)	(3,485)
<b>Total Lakes</b>	<b>\$ 584,369</b>	<b>\$ 2,976,070</b>





Lakes Regional Community Center  
Comparative Income Statement for the Month ended June 30, 2021

	<u>6/30/2021</u>	<u>6/30/2020</u>	Variance	Var %
<b>Revenues</b>				
General Revenue IDD	\$223,973	\$5,373 <i>note4</i>	\$218,600	4068%
General Revenue MH	\$664,859	\$393,344	\$271,515	69%
Early Childhood Intervention Revenue	\$91,000	\$82,593	\$8,407	10%
1115b Waiver Revenue	<i>note1</i> \$834,430	\$0	\$834,430	100%
NTBHA Revenue	\$216,306	\$246,106	(\$29,800)	-12%
Medicaid Revenue	\$254,232	\$344,239	(\$90,007)	-26%
Medicare Revenue	\$1,773	\$4,686	(\$2,913)	-62%
HCS Revenue	\$426,022	\$373,155	\$52,867	14%
Managed Care Revenue	\$110,166	\$90,158	\$20,008	22%
Private Insurance	\$6,444	\$6,603	(\$159)	-2%
Client Fees	\$6,849	\$4,417	\$2,432	55%
Other Revenue	<i>note2</i> \$833,240	\$1,415,238 <i>note5</i>	(\$581,998)	-41%
<b>Total Revenues</b>	<b>\$3,669,295</b>	<b>\$2,965,912</b>	<b>\$703,383</b>	<b>24%</b>
<b>Expenses</b>				
Salaries and Wages	\$1,567,821	\$1,470,191	\$97,630	7%
Employee Benefits	\$527,078	\$502,924	\$24,154	5%
Staff Training	\$2,541	\$2,562	(\$21)	-1%
Furniture and Equipment	\$3,081	\$672	\$2,409	358%
Maintenance and Repairs	\$35,483	\$28,453	\$7,030	25%
Utilities	<i>note3</i> \$2,409	\$31,592	(\$29,183)	-92%
Client Support	\$9,749	\$2,084	\$7,665	368%
Supplies	\$30,387	\$22,322	\$8,065	36%
Vehicle Maintenance	\$8,755	\$1,687	\$7,068	419%
Insurance Costs	\$29,327	\$26,369	\$2,958	11%
Debt Service	\$55,862	\$50,271	\$5,591	11%
Other Expenses	\$812,434	\$785,390	\$27,044	3%
<b>Total Expenses</b>	<b>\$3,084,927</b>	<b>\$2,924,517</b>	<b>\$160,410</b>	<b>5%</b>
<b>Net Surplus/(Deficit)</b>	<b>\$584,369</b>	<b>\$41,395</b>	<b>\$542,974</b>	<b>1312%</b>

*note1* Includes June 1115 budgeted excess monthly allocation increase of \$273,242. Additional 1115 funding to be received after July 2021.

*note2* Includes \$194,207 additional MAC revenue for prior quarters accrual adjustment to actual TAFI invoices prepared.

*note3* Change in utility provider resulted in delayed invoicing, for February, March and April; expenses accrued. Invoices were received and paid in May & June business, and accruals/expenses were adjusted to actual.

*note4* With the exception of Hospitality House and Block Grant Revenue, all other general revenue was deferred. Also, 1115 Waiver and MAC Revenue was not recognized in June 2020.

*note5* PPP Loans were recorded, (Other Revenue), totaling \$1,080,675.





Lakes Regional Community Center  
Comparative Income Statement for the period ended June 30, 2021

	<u>YTD ended</u> 06/30/2021	<u>YTD ended</u> 06/30/2020	Variance	Var %
<b>Revenues</b>				
General Revenue IDD	\$2,366,595	\$1,488,993	\$877,602	59%
General Revenue MH	\$6,712,987	\$6,080,418	\$632,569	10%
Early Childhood Intervention Revenue	\$899,204	\$870,137	\$29,067	3%
1115b Waiver Revenue	\$6,158,364	\$4,184,616	\$1,973,748	47%
NTBHA Revenue	\$2,174,309	\$2,360,081	(\$185,772)	-8%
Medicaid Revenue	\$2,919,633	\$3,066,056	(\$146,423)	-5%
Medicare Revenue	\$23,738	\$65,350	(\$41,612)	-64%
HCS Revenue	\$3,953,075	\$3,884,743	\$68,332	2%
Managed Care Revenue	\$1,049,523	\$987,248	\$62,275	6%
Private Insurance	\$54,867	\$78,845	(\$23,978)	-30%
Client Fees	\$35,500	\$62,325	(\$26,825)	-43%
Other Revenue	\$6,781,796	\$6,769,008	\$12,788	0%
<b>Total Revenues</b>	<b>\$33,129,589</b>	<b>\$29,897,820</b>	<b>\$3,231,769</b>	<b>11%</b>
<b>Expenses</b>				
Salaries and Wages	\$15,064,772	\$14,467,889	\$596,883	4%
Employee Benefits	\$5,223,284	\$4,948,284	\$275,000	6%
Staff Training	\$35,111	\$29,326	\$5,785	20%
Furniture and Equipment	\$43,975	\$201,370	(\$157,395)	-78%
Maintenance and Repairs	\$163,217	\$199,212	(\$35,995)	-18%
Utilities	\$325,976	\$365,082	(\$39,106)	-11%
Client Support	\$55,154	\$27,813	\$27,341	98%
Supplies	\$250,347	\$254,495	(\$4,148)	-2%
Vehicle Maintenance	\$36,154	\$42,392	(\$6,238)	-15%
Insurance Costs	\$294,701	\$263,690	\$31,011	12%
Debt Service	\$544,453	\$551,467	(\$7,014)	-1%
Other Expenses	\$8,116,376	\$7,889,463	\$226,913	3%
<b>Total Expenses</b>	<b>\$30,153,519</b>	<b>\$29,240,483</b>	<b>\$913,036</b>	<b>3%</b>
<b>Net Surplus/(Deficit)</b>	<b>\$2,976,070</b>	<b>\$657,337</b>	<b>\$2,318,733</b>	<b>-353%</b>





**Lakes Regional Community Center**  
**Statement of Revenues and Expenditures**  
**For the Period Ending June 30, 2021**

Revenues	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Total
Local	\$ 64,976	\$ 133,243	\$ 64,381	\$ 152,050	\$ 63,238	\$ 91,540	\$ 59,312	\$ 181,304	\$ 688,555	\$ 64,377	\$ 1,562,974
State Programs	1,468,465	1,558,503	1,515,762	1,695,370	1,675,357	1,606,098	1,715,798	1,620,635	1,742,069	1,691,178	16,289,235
Federal Programs	1,222,680	1,275,553	1,264,510	1,286,279	1,180,382	1,189,023	1,339,781	1,113,830	1,504,648	1,695,441	13,072,127
Interest Income	3,257	3,630	2,989	3,666	3,251	3,037	3,691	2,725	2,235	1,992	30,944
North Texas BH Assoc	238,835	240,308	199,390	205,371	199,657	205,399	204,116	217,938	246,989	216,306	2,174,309
<b>TOTAL REVENUES</b>	<b>\$ 2,998,212</b>	<b>\$ 3,211,236</b>	<b>\$ 3,047,032</b>	<b>\$ 3,342,735</b>	<b>\$ 3,121,885</b>	<b>\$ 3,095,568</b>	<b>\$ 3,322,697</b>	<b>\$ 3,136,433</b>	<b>\$ 4,184,496</b>	<b>\$ 3,669,295</b>	<b>\$ 33,129,589</b>
<b>Expenditures</b>											
Current:											
Salaries & Wages	\$ 1,484,631	\$ 1,456,243	\$ 1,415,633	\$ 1,500,349	\$ 1,492,924	\$ 1,506,266	\$ 1,398,515	\$ 1,512,791	\$ 1,729,601	\$ 1,567,821	\$ 15,064,772
Employee Benefits	506,938	498,310	492,536	504,863	540,744	545,325	529,969	535,415	542,105	527,078	5,223,284
Other Operating Expenses:											
Client Respite	3,108	7,011	3,880	4,753	7,167	2,485	7,739	2,991	8,910	7,403	55,457
HCS Contract	115,580	121,954	104,711	115,524	108,310	110,498	112,415	105,234	113,468	114,681	1,122,375
Consult/Pro Svcs - Internal											
Nursing Contract - RK											
Consult/Pro Svcs - External	2,487	3,325	12,360	13,937	10,228	7,450	14,738	15,327	11,574	14,818	106,243
Contracts with Other Orgs-Ext	320,753	359,293	363,891	396,667	330,291	353,426	390,306	336,047	374,639	354,671	3,569,984
ICF/MR Quality Assurance Fees	7,037	7,313	7,077	6,955	7,253	6,140	7,283	7,048	7,283	6,700	70,088
TXHML Contracts	14,692	11,912	15,858	11,605	13,114	12,964	20,022	14,228	15,046	15,158	144,600
Contracted Lab Services	1,436	993	688	738	746	958	1,522	1,191	950	1,687	11,518
Staff Development/Training	2,599	2,727	2,572	4,353	2,808	5,251	3,782	4,573	3,903	2,541	35,111
1115 Projects Certif./Training Fees											
Non-Clinical Contracts with Others	5,495	4,146	4,099	3,935	3,714	5,737	5,686	4,877	3,878	6,519	48,066
Pharmaceuticals/Supplies	26,525	25,289	28,842	21,194	22,893	20,930	23,329	31,963	10,127	26,201	237,293
Atypical Meds	7,440	7,488	2,144	11,654	6,371	6,227	7,394	10,845	1,151	2,560	63,274
Patient Asst Program/Filling Fees	3,000	0	1,500	1,500	1,500	1,500	1,500	1,500	1,500	1,500	15,000
Training and Travel	3,739	15,051	18,016	18,138	16,986	13,594	13,265	22,519	21,296	27,540	170,143
Consumable Supplies	22,958	28,816	23,665	28,172	22,208	19,888	21,695	32,885	19,672	30,387	250,347
1115 Residential Equip/Supplies											
Building Capital Outlay	6,350	9,480	(2,050)	96,549	14,399	27,927	39,440	0	0	0	192,095
Capital Outlay Projects				0	0	0					
Furniture/Equipment over \$5,000											
Computer Capital Outlay	3,543	4,564	4,489	4,489	4,489	4,489	4,489	4,489	4,256	4,256	43,553
Furniture/Equipment under \$5,000	4,287	2,173	3,755	2,704	6,038	368	7,822	9,186	4,561	3,081	43,975
Copier Equipment Rental	11,677	9,906	11,091	11,939	7,934	11,021	13,256	11,503	11,714	10,795	110,837
Computer Equipment Under \$5,000	9,137	4,128	6,375	16,050	16,050	23,227	5,442	23,205	19,379	23,597	161,893
Other Monthly Expenses	15,443	3,828	10,716	13,738	14,075	6,586	17,367	28,562	11,146	11,273	132,733
Computer Software Support Fees	12,499	9,573	896	11,213	8,923	5,362	12,149	15,618	3,808	8,946	88,988
Computer Software Fees for HR System	7,098	9,098	8,098	8,098	8,098	8,098	11,598	8,098	8,098	8,098	84,482
Bad Debts											
Building Rent, Repair, Maintenance	105,974	122,208	120,497	111,558	113,150	97,254	127,502	113,912	138,323	143,599	1,193,976
Building Rent to Other Programs											
Vehicle Operating Expense	3,140	2,870	1,910	3,491	2,041	2,476	3,986	3,986	3,510	8,755	36,154
Vehicle Fuel Costs	2,618	2,976	2,558	2,558	2,853	2,867	4,088	4,384	4,641	6,075	35,612
Non-Client Utilities	30,461	19,715	47,906	35,460	54,274	45,134	43,795	42,184	4,639	2,409	325,976
Telecommunications	18,188	27,582	27,582	27,713	28,016	27,709	29,071	36,821	31,211	36,954	290,818
Data Connect/Internet Access	7,455	7,455	7,455	7,455	7,455	7,455	7,455	0	7,455	0	59,674
Crisis Hotline Answering Svc	3,000	3,000	2,500	2,500	2,500	2,500	2,500	2,500	2,500	2,500	26,000
Insurance	29,677	29,677	29,677	29,677	29,677	28,993	29,335	29,335	29,327	29,327	294,701
Client Support Costs	1,595	1,592	5,550	2,326	6,398	5,438	6,924	9,065	6,516	9,749	55,154
Peer Training and Support											





Client Reimbursable Services	9,463	9,300	3,615	6,070	9,488	3,878	8,390	13,730	4,868	3,507	72,308
NTBHA Supported Housing	3,836	5,450	7,144	8,333	4,430	4,658	5,598	7,970	5,744	11,714	64,876
Debt Service	54,410	58,207	49,628	53,907	53,928	54,750	53,639	54,264	55,862	55,862	544,453
Interest on PPP Loan											(13,737)
COVID-19 Expenses	7,824	7,375	7,514	7,783	6,530	8,854	4,612	3,307	3,978	3,672	61,450
ECI Client Support Costs	0	0	0	450	345	0	240		688	1,048	2,770
DSRIP Audit Costs											0
Expanding Fund Raising Funds	0	90	677	1,164	0	583	865	(310)	1,639	216	4,926
Program Indirect											0
LRMHMRC Board Expenses	125	100	0	0	145	106	20	(51)	420		866
Expanding Red River Funds	0	287	0	0	35	0	0	10	49		381
Expanding Empowerment Funds											(148)
ECI In-Kind Volunteers				(200)						52	0
Service Costs Unallowable	4,913	4,663	4,461	6,695	4,918	4,042	4,669	5,274	5,647	5,915	51,197
Total Other Operating Expenses	889,563	950,614	951,328	1,116,148	959,815	950,835	1,074,907	1,018,853	963,375	990,027	9,865,463
TOTAL EXPENDITURES	2,881,132	2,905,167	2,859,497	3,121,359	2,993,482	3,002,425	3,003,392	3,067,058	3,235,081	3,084,927	30,153,519

Excess (deficiency) of revenues over expenditures	\$ 117,080	\$ 306,069	\$ 187,535	\$ 221,376	\$ 128,402	\$ 93,142	\$ 319,306	\$ 69,375	\$ 949,415	\$ 584,369	\$ 2,976,070
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note 1 - Void Check from Prior Fiscal Year

note 2 - Includes \$107,603 additional MAC Revenue recorded to adjust 3Qtr2020 accrual to actual billed

note 3 - Reduction in March salaries are due to the reduction of one physician FTE by 10%, and two nurse practitioner FTEs by 10%. This also slightly reduces benefit costs.

note 4 - Reclassification for account coding to be entered in May financial report.

note 5 - Void check for board member travel.

note 6 - Required Recognition of Provider Relief Funding - 1) Expenditures for COVID Goodwill Payroll and Benefits - \$293,170. 2) Reimbursement for COVID related expenditures March 2020 - March 2021 - \$180,174. 3) Compensation for lost revenues associated with cessation of certain day-habilitation services due to COVID precautions. March 20 - Feb 21 - \$157,434.

note 7 - Includes May 1115 budgeted excess monthly allocation increase of \$273,242. Additional 1115 funding to be received after July 2021 IGT Payment.

note 8 - Includes Expenditure for COVID Goodwill Payroll - \$273,337.

note 9 - Includes Expenditures for COVID Goodwill Benefits - \$20,834.

note 10 - Includes June 1115 budgeted excess monthly allocation increase of \$273,242. Additional 1115 funding to be received after July 2021 IGT Payment. Includes \$194,207 additional MAC revenue for prior quarters accrual adjustment to actual TAFI invoices prepared.

note 11 - Change in utility provider resulted in delayed invoicing for February, March and April - expenses accrued. Invoices were received and paid in May and June business, and accruals/expenses were adjusted to actual.

note 12 - Prior Period Adjustment to accrued interest expense for the PPP Loan received in FY20. PPP Loan has been forgiven in FY21.





Lakes Regional Community Center  
FY21 Aged Accounts Receivable  
JUNE, 2021

Accounts Reivable Description	Amount	JUN	MAY 30 Days	APR 60 Days	MAR 90 Days	FEB & prior 120 +
MAC Adm Claim	763,265.66	74,507	74,507	74,507	74,507	465,238
<b>NTBHA:</b>						
Substance Abuse	2,536.65	2,537	-	-	-	-
ACT - addt'l billed svcs	3,300.00	-	1,100	-	2,200	-
Medicaid	306,342.94	279,932	6,704	7,393	3,905	8,409
Medicare **	466.61	374	18	74	-	-
Private Insurance **	3,043.34	1,194	994	825	31	-
Chip **	229.37	175	-	8	46	-
<b>MANAGED CARE:</b>						
Amerigroup	24,955.45	22,959	1,959	38	-	-
Superior (Cenpatico)	30,658.73	26,681	1,792	469	1,206	511
Optum	21,378.86	19,202	396	1,780	-	-
Cigna	11,372.26	11,372	-	-	-	-
Texas Childrens Plan	2,722.94	2,451	47	93	47	84
Beacon	7,953.55	7,819	-	-	135	-
Molina	28,667.30	19,642	2,984	4,022	1,999	20
Aetna Better Health	9,138.01	3,653	1,920	618	220	2,727
Texas Home Living - North	23,590.98	23,591	-	-	-	-
Texas Home Living - South	9,813.39	9,813	-	-	-	-
HCS - North	294,597.06	294,597	-	-	-	-
HCS - South	97,003.69	97,004	-	-	-	-
Reimbursable Svcs-TxHmL North & South	1,590.00	1,270	192	-	-	128
Reimbursable Svcs-HCS North	10,476.67	2,819	1,651	1,197	251	4,560
Reimbursable Svcs-HCS South	1,530.67	603	255	-	4	669
HCS Rm/Brd	-	-	-	-	-	-
ICF Residential Homes	130,418.20	121,826	-	3,548	5,045	-
Block Grant/TANF-Title XX Gen Revenue	19,971.50	19,972	-	-	-	-
Block Grant Supp Housing	-	-	-	-	-	-
CCBHC Expansion Grant	118,853.00	118,853	-	-	-	-
Supported Employment	-	-	-	-	-	-
Day Hab Billings (Private Providers)	9,183.17	6,468	2,183	394	54	84
1048 IDD Billed Svcs	5,885.59	2,847	3,038	-	-	-
ECI Grant Revenue	90,265.23	90,265	-	-	-	-
ECI Respite	735.00	735	-	-	-	-
ECI Priv Ins	2,353.13	1,425	759	94	-	75
ECI Medicaid	25,041.96	23,965	154	608	315	-
ECI Managed Care	53,660.98	37,873	8,679	5,795	978	335
ECI Chip	88.09	51	7	-	-	30
A/R Other Employees	-	-	-	-	-	-
A/R Employee Insurance (Cobra)	-	-	-	-	-	-
TCOOMMI GRANT	66,273.83	16,800	22,654	26,820	-	-
TDCJ Contract-Greenville	18,467.66	6,869	7,309	4,290	-	-
TDCJ - Sherman/Bonham/Paris	46,133.12	18,730	14,382	13,022	-	-
Fannin County Drug Court	2,000.00	2,000	-	-	-	-
Grayson County Drug Court	-	-	-	-	-	-
Titus County Drug Court	1,700.00	1,700	-	-	-	-
DSHS Region 3	20,185.90	20,186	-	-	-	-
DSHS Region 4	46,027.93	19,657	18,550	7,821	-	-
DSHS MHFA Outreach	11,358.23	5,519	5,840	-	-	-
ICF Upper Payment Limit	73,188.00	24,396	24,396	24,396	-	-
SAC Prog -Hunt County	3,228.19	-	-	-	-	-
ECC - (Enhanced Comm Coord)	17,232.53	8,618	8,615	-	-	-
Pharmacy Rental Income	-	-	-	-	-	-
Comm Education (Curt Pitton)	4,616.50	4,617	-	-	-	-
1115 Waiver Fed Share **accrual	4,010,483.58	834,430	561,188	561,188	561,188	1,492,490
Cyber Insurance Claims Reimbursements	84,510.00	84,510	-	-	-	-
Misc Revenue - 1370-1200 - \$509.94	-	-	-	-	-	-
** Tarrant Co Suicide Training Reimb	401.84	402	-	-	-	-
** 1st Bank Card-Car June C&C Auto refund	108.10	108	-	-	-	-

	GL bal	bal ck
Balance Due	6,517,005.39	6,517,005.39





**AGENDA ITEM NO. 07.8.21**  
**Behavioral Health Director**

**Recommended Board Action:**

None. Informational purposes only.

**Rationale:**

1. Status of Buildings
  - Paris
  - Greenville
  - Bonham
  - Mt. Pleasant
  - Sulphur Springs
  - Terrell
  - Rockwall
  - Sherman
  
2. New Rockwall Center Director – Susan Chaffin
  
3. Education Service Center Region 8/Pittsburg Applications
  
4. FY22 Budget
  - General Revenue
  - SUD
  - NTBHA
  - 1115 SAMHSA



## **AGENDA Item No.: 07.09.21**

### **Intellectual and Developmental Disabilities Director's Report**

#### **Recommended Board Action: None Information Only**

##### **1. Training Centers (Day Habilitation):**

- Terrell Training Center opened July 6, 2021, 5 days a week with a total of 24 on the roster.
- No opening dates for 2 Training Centers (Paris and Ennis) due to staff shortage.
- Greenville Training Center is open 5 days a week with 21 community individuals and 24 ICF individuals.
- Sulphur Springs opened June 1, closed again due to facility issues on July 19.

##### **2. Community Services:**

- PASRR individuals are getting more difficult to serve as the Nursing Facilities are tightening the entrance criteria once again due to Covid.

##### **3. HCS and ICF Residential:**

- ICF State survey came to Bonnie Lea in June. No deficiencies noted and the surveyors were very complimentary of the individuals and staff.

##### **4. Outpatient Biopsychosocial Intervention Team (OBI):**

- OBI Active Caseload: 26
- Reporting submitted July 15, 2021
- The team is meeting all measures
- OBI held 2 virtual awareness training events in June

##### **5. Private Pay:**

- One full time child attending Behavior Learning Center. AETNA approved full-time services (ABA/RBT)

##### **6. Connections Specialty Services:**

- 12 receiving IDD/BH Counseling
- 26 receiving Behavior Support Services

##### **7. New Staff:**

- Central has hired an Administrative Assistant for Waiver services
- Greenville hired three new frontline employees
- Still in need of many frontline staff





## AGENDA ITEM No. 07.10.21

### Contracts & Quality Management Report

#### Recommended Board Action:

None. Information only

#### Rationale:

### 1. CONTRACTS/NETWORK DEVELOPMENT

- Status of FY22 state revenue contracts
  - Received HHSC IDD Contract
    - Board Affidavit (See Attachment) – Please sign and return
  - Received HHSC IDD Learning Collaborative Contract
  - Pending HHSC MH Contract
  - Pending NTBHA MH Contract
  - Pending NTBHA SUD Contract
  - Pending TCOOMMI Contract
    - Received an email regarding TDCJ's intent to renew the contract
  - Pending Federal Probation contract extension
    - Received a letter with an intent to renew
- Received FY21 HHSC MH Contract Amendment #2, summary in QM section
- HHSC approved an additional \$50,000 for both Region 3 and Region 4 to finish out FY21
  - Region 3 funding
    - FY21 - \$175,000
    - FY22 – \$185,536
    - FY23 - \$180,687
    - FY24 - \$175,000
    - FY25 - \$175,000
  - Region 4 funding is pending HHSC approval

### 2. PNAC

- The next Local PNAC meeting is scheduled for August 2, 2021.

#### RPNAC

- ETBHN distributed a hospitalization survey to each RPNAC center. The survey is due to ETBHN by July 31, 2021.
- The next RPNAC meeting is scheduled for August 18, 2021.

### 3. RIGHTS/ ABUSE, NEGLECT, & EXPLOITATION ALLEGATIONS

#### Rights

- NTBHA: 1- (Greenville MH); Unconfirmed
- IDD Authority: 1; Unconfirmed
- IDD Provider: 1 Unconfirmed

#### APS

- **APS Investigation:** Terrell Group Home **Results:** Pending

### 4. QM MH, NTBHA & SUBSTANCE ABUSE

- MH Performance Measures: Lakes Regional is held harmless for performance measures and outcomes until further notice due to COVID-19.





- NTBHA HHSC Audit: Lakes Regional submitted CAP follow up documentation to NTBHA on May 17 2021. NTBHA approved the revised documentation/procedures on May 27, 2021.
- HHSC FY19 MH Audit: HHSC reported additional findings from the CAP follow up. HHSC has reviewed Lakes Regional's CAP follow documents and verified all corrections notated in the CAP has been corrected.
- Board Training Audit: QM audited the board's training as required by HHSC. Audit Score 100%
- HHSC Mystery Calls: HHSC conducted mystery calls during FY21Q3. Lakes Regional did not receive any findings and HHSC stated, "Your team members assisted our caller and the caller was informed about accessing services".
- Superior Audit: Superior will conduct their quarterly audit between July 19 and July 21, 2021.
- Walk Behinds of Peer Reviews
  - LPHA Recovery Plan Peer Review: 0 charts scored below 70%. Difference between peer scoring and QM is 6%.
  - MCOT Crisis Services Peer Review: 1 chart scored below 70%. Difference between peer scoring and QM is 3%.
  - SUD Person-Centered Treatment Planning/Discharge Peer Review: 2 charts scored below 70%. Difference between peer scoring and QM is 1%.
  - QMHP Skills Training Peer Review: 5 charts scored below 70%. Difference between peer scoring and QM is 8%.
- HHSC MH Contract Amendment #2: - make clear this is only for FY21
  - HHSC's contract allocation increased by \$33,814 –
  - Match increased by \$2,704
  - Included revisions updating TAC from Title 25 to Title 26
  - Added requirement to notify HHSC if Lakes experiences phone system issues or a service disruption that exceeds 48 hours
  - Added 1 FTE to support continuity of care and related functions
  - Added requirement to make a staff member available for consultation with a State Mental Health Facility regarding use of atypical long-acting injectable antipsychotic medication upon discharge
  - Increased CANS/ANSA super users from 1 per agency to 2 per assessment tool
  - Added the designation of 1 or more staff to be responsible for tracking policy updates posted on HHSC's identified platform and disseminating information
  - Expansion of the age limits for Transition Age Youth (TAY) Services from a maximum age of 25 to 26, currently no one is in this level of care
  - Expansion of coordination responsibilities when children/youth are enrolled in the Residential Treatment Center program.
    - Designate a point of contact to coordinate referrals for children and youth referred to HHSC RTC
    - Participate in regular TA calls with HHSC

## 5. IDD

- IDD Service Target: Lakes Regional will be held harmless for performance measures and outcomes until further notice due to COVID-19.
- HHSC Desk Review: HHSC will conduct their annual audit between August 16, 2021 and August 19, 2021 via desk review.
- LIDDA CAP Audit: QM conducted a focused audit for HCS and PASRR in June 2021
  - PASRR – Audit score 98%; 0 charts scored below 70%
  - HCS – Audit score 87%; 1 chart scored below 70%
- FY22-23 HHSC IDD Contract
  - Added requirement that the LIDDA must comply with EVV requirements
  - Added a sanction regarding adhering to deliverables to HHSC



- Added requirement that any permanency plan that includes placement in an institution after the initial six-month extension must be reviewed and approved by a secondary reviewer, different than the reviewer providing the placement recommendations.

#### **NCQA**

- Extension Request: Lakes Regional requested an extension for the NCQA accreditation. The new lookback period will begin October 2021 and the NCQA audit in March 2022, pending NCQAs approval.





## **AGENDA ITEM NO. 07.11.21**

### Human Resources Report

#### **Recommended Board Action:**

None; information only.

#### **Headcount**

We had a total of 465 authorized FTEs and 391 employees in June. We filled 15 positions, twelve of which were new hires. We had nine separations, eight of which were voluntary. Some of the reasons for separation were moving to a job with better pay, taking care of health issues, taking care of spouse, spouse retiring and decided to go traveling, and starting private practice. The involuntary separation was because of position not being a good fit for employee. Three of the separations were employees that have worked less than a year for Lakes.

#### **Compensation & Benefits**

There were fewer staff reporting COVID exposures during June. Two staff tested positive for COVID. No one required hospitalization. There were no requests for emergency paid sick leave from the FFCRA program by administrative staff. Four direct care staff were granted 176 hours of paid sick leave from the emergency COVID-19 Sick Leave Pool.

Our health claims were lower this month; we went from 87.8% to 73.5%, which is the lowest percentage during the last five months. We had 15 large claims which six of them exceeded the stop-loss limit.





HR Monthly Report  
FY2021

I. Head Count (end of mo)	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	YTD
<b>Overall Total</b>													
# Positions	470	480	470	462	462	464	471		468	465			471
# FTE	446.35	456.35	462.35	455.75	455.75	457.75	467.1			465			467.1
# Employees	381	386	388	394	396	398	395		389	391			395
# Vacant Positions	91	96	99	84	82	82	92		79	74			92
# Full-Time Vacancies	74	76	79	66	64	64	77			64			77
# Part-Time Vacancies	17	20	20	18	18	18	15			10			15
<b>General Administration</b>													
# Positions	37	37	38	38	38	38	38		38	38			38
# FTE	37.0	37.0	38.0	38.0	38.0	38.0	38.0		38	38			38.0
# Employees	35	36	36	37	36	36	36		36	37			36
# Vacant Positions	2	1	2	1	2	2	2		2	1			2
# Full-Time Vacancies	2	1	2	1	2	2	2		2	1			2
# Part-Time Vacancies	0	0	0	0	0	0	0		0	0			0
<b>ECI Services</b>													
# Positions	25	25	23	23	23	23	23		23	23			23
# FTE	22.9	22.9	22.9	22.9	22.9	22.9	22.9		22.9	23			22.9
# Employees	22	22	22	22	22	22	21		22	22			21
# Vacant Positions	3	3	3	3	3	3	4		3	1			4
# Full-Time Vacancies	1	1	1	1	1	1	2		1	1			2
# Part-Time Vacancies	2	2	2	2	2	2	2		2	0			2
<b>1115 Waiver Projects</b>													
# Positions	48	49	48	47	47	47	48		48	47			48
# FTE	44.75	45.75	46.75	45.35	45.35	45.35	46.35		48	47			46.35
# Employees	42	42	41	40	41	42	41		40	37			41
# Vacant Positions	8	9	10	8	7	6	8		8	11			8
# Full-Time Vacancies	6	7	8	7	6	5	7		7	10			7
# Part-Time Vacancies	2	2	2	1	1	1	1		1	1			1
<b>IDD Authority Services</b>													
# Positions	62	62	63	62	62	62	63		64	59			63
# FTE	62.0	62.0	63.0	62.0	62.0	62.0	63.0		64	59			63.0
# Employees	56	59	59	59	59	58	58		53	52			58
# Vacant Positions	6	3	4	3	3	4	5		11	7			5
# Full-Time Vacancies	6	3	4	3	3	4	5		11	7			5
# Part-Time Vacancies	0	0	0	0	0	0	0		0	0			0
<b>IDD Provider Services</b>													
# Positions	131	130	122	117	117	117	117		117	114			117
# FTE	117.55	117.55	117.55	114.35	114.35	114.35	116.35		116.85	114			116.35
# Employees	92	92	93	94	95	95	92		91	93			92
# Vacant Positions	39	38	37	33	32	32	34		34	21			34
# Full-Time Vacancies	27	25	24	20	19	19	24		24	15			24
# Part-Time Vacancies	12	13	13	13	13	13	10		10	9			10
<b>Medical Prescriber Services</b>													
# Positions	13	14	11	11	11	11	14		12	12			14



HR Monthly Report  
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	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	YTD
# FTE	9.55	9.55	9.55	9.55	9.55	9.55	12.9		12	12			12.9
# Employees	13	12	12	12	12	12	12		12	12			12
# Vacant Positions	0	2	2	2	2	2	6		0	0			6
# Full-Time Vacancies	0	0	0	0	0	0	4		0	0			4
# Part-Time Vacancies	0	2	2	2	2	2	2		0	0			2
<b>Mental Health Adult Services</b>													
# Positions	132	141	143	142	142	144	146		144	150			146
# FTE	130.8	139.8	142.8	141.8	141.8	143.8	145.8		144	150			145.8
# Employees	105	107	109	115	115	116	118		118	121			118
# Vacant Positions	27	34	35	27	27	28	28		26	29			28
# Full-Time Vacancies	26	33	34	27	27	28	28		26	29			28
# Part-Time Vacancies	1	1	1	0	0	0	0		0	0			0
<b>Mental Health C&amp;A Services</b>													
# Positions	10	10	10	10	10	10	10		10	10			10
# FTE	10.0	10.0	10.0	10.0	10.0	10.0	10.0		10	10			10.0
# Employees	4	4	4	3	4	5	5		5	5			5
# Vacant Positions	6	6	6	7	6	5	5		5	5			5
# Full-Time Vacancies	6	6	6	7	6	5	5		5	5			5
# Part-Time Vacancies	0	0	0	0	0	0	0		0	0			0
<b>Substance Abuse Services</b>													
# Positions	12	12	12	12	12	12	12		12	12			12
# FTE	11.8	11.8	11.8	11.8	11.8	11.8	11.8		12	12			11.8
# Employees	12	12	12	12	12	12	12		12	12			12
# Vacant Positions	0	0	0	0	0	0	0		0	0			0
# Full-Time Vacancies	0	0	0	0	0	0	0		0	0			0
# Part-Time Vacancies	0	0	0	0	0	0	0		0	0			0
<b>II. Recruitment</b>													
# Applications Received	102	126	126	174	128	52	74			208			990
# Positions Filled	7	12	16	5	10	11	4			15			80
# New Hires	2	9	12	4	9	5	3		6	12			62
# Internal Promotions/Transfers	5	3	4	1	1	6	1			3			24
<b>III. Separations</b>													
# Separations *	4	6	4	4	4	2	12		7	9			52
YTD Avg # Employees	381	386	388	394	396	398	395		390	392			391.14
YTD Turnover Rate	1.05%	1.55%	1.03%	1.02%	1.01%	0.50%	3.04%		1.79%	2.29%			13.29%
* excludes temporary, PRN, & RIF													
Avg LOS (Yrs)	1.24	3.01	3.23	2.88	2.08	0.88	2.48			2.67			2.43
# Involuntary Separations	0	3	0	1	1	1	0		0	1			7
# Voluntary Separations	4	3	4	3	3	1	12		7	8			45
# Vol Separations < 90 Days Empl	0	1	0	0	2	0	1		0	1			5
# Vol Separations > 90 Days < 1 Yr	2	1	0	1	0	1	4		5	2			16
<b>IV. Training</b>													
# NEO Classes	1	2	2	1	2	2	1		2	3			16
# NEO Participants	2	9	12	4	6	5	3		6	11			58
# CPR Classes	6	5	4	5	5	4	7		4	7			47





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	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	YTD
# CPR Participants	19	17	10	12	14	9	22		8	23			134
# SAMA Initial Classes	2	2	1	2	2	1	0		2	3			15
# SAMA Initial Participants	7	8	4	10	6	6	0		5	6			52
# SAMA Refresher Classes	5	4	6	5	5	5	5		5	4			44
# SAMA Refresher Participants	15	16	16	7	9	14	12		20	27			136
# ASIST Initial Classes	0	1	0	1	0	0	1		1	0			4
# ASIST Initial Participants	0	6	0	15	0	0	12		12	0			45
# SOSAM Classes	0	0	0	0	1	0	1		0	0			2
# SOSAM Participants	0	0	0	0	2	0	1		0	0			3
# YMHFA Classes	0	1	0	1	0	0	0		0	1			3
# ISD Participants	0	7	0	7	0	0	0		0	2			16
# AMHFA Classes	1	0	0	0	0	0	0		0	0			1
# Community Participants	5	0	0	0	0	0	0		0	0			5
Total # Events	15	15	13	15	15	12	15	0	14	18	0	0	132
Total # Participants	48	63	42	55	37	34	50	0	51	69	0	0	449
<b>V. Benefits</b>													
<i>Retirement</i>													
# Eligible Employees	358	357	356	358	363	373	375		364	360			375
# 457(b) Participants	265	264	265	265	269	277	280		269	266			280
# Participating at 5% or More	160	159	163	162	163	168	169		167	164			169
\$ Avg Contribution Amount	212	201	191	194	207	207	195		206.33	215.77			195
\$ Total 457(b) Contribution	56,194	53,102	50,736	51,406	55,639	57,344	54,493		55,503	57,873			492,291
\$ Total Center's Match to 401(a)	45,846	43,613	42,259	43,088	44,968	46,408	43,888		44,627	46,603			401,300
<i>Health Insurance</i>													
# Eligible Employees	358	357	356	358	363	373	375		364	360			375
# With Coverage	326	325	323	326	334	341	342		334	330			342
\$ Total Premium per Month	402,643	402,404	400,192	402,919	414,037	420,075	420,869		412,763	407,657			3,683,559
<i>Value Plan Enrollment</i>													
# Employee Only	166	165	164	167	171	179	180		175	174			180
# Employee & Child	29	30	31	32	35	35	35		34	33			35
# Employee & Spouse	18	18	18	16	16	16	16		16	17			16
# Employee & Family	24	24	24	25	26	26	25		26	25			25
\$ Paid by Employee	44,123	44,481	44,839	44,946	46,974	47,174	46,420		46,691	46,069			411,717
\$ Paid by Center	249,245	249,690	250,135	252,755	261,845	268,725	267,850		263,980	261,580			2,325,805
<i>Enhanced Plan Enrollment</i>													
# Employee Only	77	76	75	75	75	74	74		72	70			74
# Employee & Child	6	6	5	5	5	5	5		5	5			5
# Employee & Spouse	1	1	1	1	1	1	2		1	1			2
# Employee & Family	5	5	5	5	5	5	5		5	5			5
\$ Paid by Employee	22,185	22,038	21,228	21,228	21,228	21,081	21,989		20,787	20,493			192,257
\$ Paid by Center	87,090	86,195	83,990	83,990	83,990	83,095	84,610		81,305	79,515			753,780
<i>Total Expenses</i>													
\$ Total Admin Fee Paid	65,011	64,317	64,613	75,501	66,595	68,056	68,192		66,800	66,204			605,289
\$ Total Premium Paid	402,643	402,404	400,192	402,919	414,037	420,075	420,869		412,763	407,657			3,683,559
\$ Total Claims Paid (Med & Rx)	226,736	494,587	277,109	218,271	327,406	427,974	392,093		362,609	299,597			3,026,383
Loss Ratio	56.3%	122.9%	69.2%	54.2%	79.1%	101.9%	93.2%	####	87.8%	73.5%	#DIV/0!	#DIV/0!	82.2%





HR Monthly Report  
FY2021

	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	YTD
# Large Claims > \$50,000	1	3	3	3	4	4	7			15			7
# Claims Exceeding Ind Stop Loss	1	1	1	1	1	1	2			6			2
<b>VI. COVID Tracking</b>													
# Employees with Exposure/Tested	19	35	35	59	30	15	5			4			202
# with Positive Results	3	6	8	15	13	5	2			2			54
# Hospitalized	1	1	1	0	0	0	0			0			3

